

## STIC Search Report Biotech-Chem Library

## STIC Database Tracking Number: 18970

TO: Deborah Lambkin Location: rem/5B09/5C18

Art Unit: 1626

Friday, May 19, 2006

Case Serial Number: 10/766990

From: Saloni Sharma

**Location: Biotech-Chem Library** 

**REM-1A64** 

Phone: (571)272-8601

saloni.sharma@uspto.gov

#### Search Notes

Examiner Lambkin,

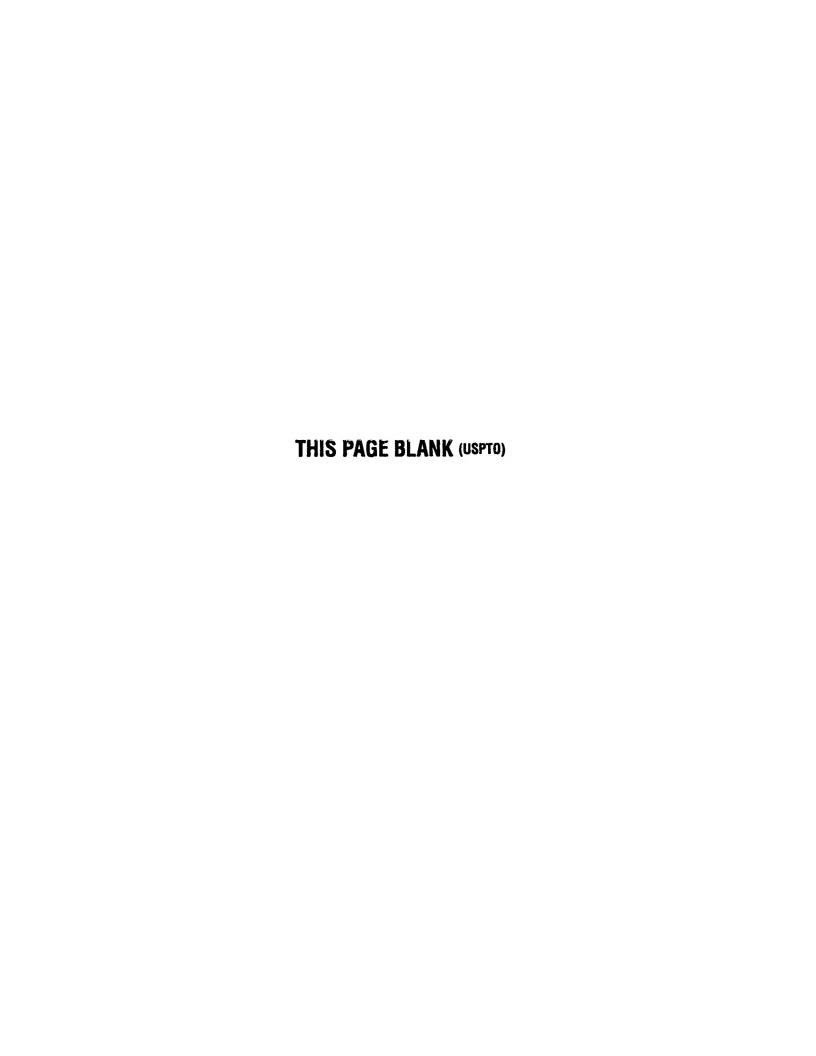
See attached results.

If you have any questions about this search feel free to contact me at any time.

Thank you for using STIC search services!

Saloni Sharma
Technical Information Specialist
STIC Biotech/Chem Library
(571)272-8601







# STIC SEARCH RESULTS FEEDBACK FORM

## Biotech-Chem Library

Questions about the scope or the results of the search? Contact the searcher or contact:

Mary Hale, Information Branch Supervisor Remsen Bldg. 01 D86 571-272-2507

| Vol  | untary Results Feedback Form   |
|------|--|
| · >  | I am an examiner in Workgroup: Example: 1610   |
| ۸.   | Relevant prior art found, search results used as follows:  |
|      | 102 rejection  |
| • •. | ☐ 103 rejection  |
|      | Cited as being of interest.  |
|      | Helped examiner better understand the invention.   |
|      | Helped examiner better understand the state of the art in their technology.                      |
| •    | Types of relevant prior art found:   |
|      | Foreign Patent(s)  |
|      | Non-Patent Literature (journal articles, conference proceedings, new product announcements etc.) |
| . >  | Relevant prior art not found:  |
|      | Results verified the lack of relevant prior art (helped determine patentability).                |
| •    | Results were not useful in determining patentability or understanding the invention.             |
| . C  | comments:  |

Proportions ende on to let end of the state of the second of the second





## SEARCH REQUEST FORM

### Scientific and Technical Information Center

| 5C/B  | one Number $30 \frac{57}{57}$ ation: $500$   |   | Number:   | PAPER DISK E-M                                      |
|---|--|---|---|---|
| Please provide a detailed statement of Include the elected species or structur utility of the invention. Define any teknown. Please attach a copy of the co | f the search topic, and ores, keywords, synonyrerms that may have a spector sheet, pertinent cla | describe as specifically<br>ns, acronyms, and regis<br>pecial meaning. Give e<br>ims, and abstract. | as possible the sub<br>try numbers, and c<br>xamples or relevan | ********************<br>ject matter to be searched. |
| Title of Invention:   |  | vid Prodrugo  | of Prop   | 20   l  |
| Earliest Priority Filing Date:  | 22   |   |   |   |
| *For Sequence Searches Only * Please in   | nclude all pertinent infor   | mation (parent, child, div  | visional, or issued pa  | tent numbers) along with the                        |
|   | <br>   |   |   |   |
| H2N -C  |  | -cooH   |   | Le de 20  |
| (11)  | )<br> <br> -<br> <br>  | . 0   | $\triangle$   | 73516, 2008<br>73516, 2008                          |
|   | 70   | K   |   |   |
| f:  | Ru<br>1 14   | COO H   | R3<br>0 -   | #   |
| STAFF USE ONLY  | H  | thanks pu   | 7<br>S<br>******  | e clavis  |
| Searcher: Relation  | Type of Search   |   | ors and cost where  | applicable .  |
| Searcher Phone #:   | NA Sequence (#)  |   | <u> </u>  |   |
| Searcher Location:  | Structure (#)  |   |   |   |
| Date Searcher Picked Up: 5/15/06  | Bibliographic  |   | <del></del>   |   |
| Date Completed: 5/19/06   | Litigation   |   |   |   |
| Searcher Prep & Review Time: 40   | Fulltext   |   |   |   |
| Clerical Prep Time:   | Patent Family  |   |   |   |
| Online Time:So  | Other  |   |   |   |
| PTO-1590 (8-01)   |  |   |   |   |

THIS PAGE BLANK (USPTO)

=> d his nofile

L5

(FILE 'HOME' ENTERED AT 09:26:45 ON 19 MAY 2006)

FILE 'REGISTRY' ENTERED AT 09:26:51 ON 19 MAY 2006

L1 SCREEN 2076

L2 STRUCTURE UPLOADED

L3 QUE ABB=ON PLU=ON L2 AND L1

D L1

D L2

L4 1 SEA SSS SAM L2

D SCAN

FILE 'STNGUIDE' ENTERED AT 09:28:58 ON 19 MAY 2006

FILE 'CAPLUS' ENTERED AT 09:31:22 ON 19 MAY 2006

E US2004-766990/APPS

1 SEA ABB=ON PLU=ON US2004-766990/AP

SEL RN L5

FILE 'REGISTRY' ENTERED AT 09:31:44 ON 19 MAY 2006

L6 159 SEA ABB=ON PLU=ON (819815-13-9/BI OR 2078-54-8/BI OR 258516-82-4/BI OR 30924-93-7/BI OR 30925-18-9/BI OR 42538-62-5/ BI OR 593-71-5/BI OR 667453-29-4/BI OR 7693-49-4/BI OR 819815-08-2/BI OR 819815-09-3/BI OR 819815-10-6/BI OR 819815-11 -7/BI OR 819815-12-8/BI OR 819815-14-0/BI OR 819815-15-1/BI OR 819815-16-2/BI OR 819815-17-3/BI OR 819815-18-4/BI OR 819815-19 -5/BI OR 819815-20-8/BI OR 819815-21-9/BI OR 819815-22-0/BI OR 819815-23-1/BI OR 819815-24-2/BI OR 819815-25-3/BI OR 819815-26 -4/BI OR 819815-27-5/BI OR 819815-28-6/BI OR 819815-29-7/BI OR 819815-30-0/BI OR 819815-31-1/BI OR 819815-32-2/BI OR 819815-33 -3/BI OR 819815-34-4/BI OR 819815-35-5/BI OR 819815-36-6/BI OR 819815-37-7/BI OR 819815-38-8/BI OR 819815-39-9/BI OR 819815-40 -2/BI OR 819815-41-3/BI OR 819815-42-4/BI OR 819815-43-5/BI OR 819815-44-6/BI OR 819815-45-7/BI OR 819815-46-8/BI OR 819815-47 -9/BI OR 819815-48-0/BI OR 819815-49-1/BI OR 819815-50-4/BI OR 819815-51-5/BI OR 819815-52-6/BI OR 819815-53-7/BI OR 819815-54 -8/BI OR 819815-55-9/BI OR 819815-56-0/BI OR 819815-57-1/BI OR 819815-58-2/BI OR 819815-59-3/BI OR 819815-60-6/BI OR 819815-61 -7/BI OR 819815-62-8/BI OR 819815-63-9/BI OR 819815-64-0/BI OR 819815-65-1/BI OR 819815-66-2/BI OR 819815-67-3/BI OR 819815-68 -4/BI OR 819815-69-5/BI OR 819815-70-8/BI OR 819815-71-9/BI OR 819815-72-0/BI OR 819815-73-1/BI OR 819815-74-2/BI OR 819815-75 -3/BI OR 819815-76-4/BI OR 819815-77-5/BI OR 819815-78-6/BI OR 819815-79-7/BI OR 819815-80-0/BI OR 819815-81-1/BI OR 819815-82 -2/BI OR 819815-83-3/BI OR 819815-84-4/BI OR 819815-85-5/BI OR 819815-86-6/BI OR 819815-87-7/BI OR 819815-88-8/BI OR 819815-89 -9/BI OR 819815-90-2/BI OR 819815-91-3/BI OR 819815-92-4/BI OR 819815-93-5/BI OR 819815-94-6/BI OR 819815-95-7/BI OR 819815-96 -8/BI OR 819815-97-9/BI OR 819815-98-0/BI OR 819815-99-1/B

FILE 'CAPLUS' ENTERED AT 09:32:19 ON 19 MAY 2006 E GALLOP M/AU

L7 113 SEA ABB=ON PLU=ON ("GALLOP M"/AU OR "GALLOP M A"/AU OR "GALLOP MARC"/AU OR "GALLOP MARK A"/AU)
E XU F/AU

1186 SEA ABB=ON PLU=ON ("XU F"/AU OR "XU F C"/AU OR "XU F D"/AU
OR "XU F F"/AU OR "XU F H"/AU OR "XU F J"/AU OR "XU F L"/AU OR
"XU F LAUREN"/AU OR "XU F M"/AU OR "XU F P"/AU OR "XU F Q"/AU

L8

OR "XU F R"/AU OR "XU F S"/AU OR "XU F T"/AU OR "XU F X"/AU OR "XU F Y"/AU OR "XU F Z"/AU OR "XU FENG"/AU OR "XU FENG BIN"/AU OR "XU FENG BO"/AU OR "XU FENG CAI"/AU OR "XU FENG DAN"/AU OR "XU FENG FENG"/AU OR "XU FENG GUANG"/AU OR "XU FENG HAO"/AU OR "XU FENG HE"/AU OR "XU FENG HEH"/AU OR "XU FENG HUA"/AU OR "XU FENG HUANG"/AU OR "XU FENG J"/AU OR "XU FENG JI"/AU OR "XU FENG LAN"/AU OR "XU FENG LIN"/AU OR "XU FENG LING"/AU OR "XU FENG MING"/AU OR "XU FENG QIN"/AU OR "XU FENG RONG"/AU OR "XU FENG TING"/AU OR "XU FENG XIA"/AU OR "XU FENG XIU"/AU OR "XU FENG XUN"/AU OR "XU FENG YIN"/AU OR "XU FENG YING"/AU OR "XU FENG ZHI"/AU OR "XU FENG ZI"/AU) E CUNDY K/AU

("CUNDY K"/AU OR "CUNDY K C"/AU OR "CUNDY 86 SEA ABB=ON PLU=ON L9 KEN"/AU OR "CUNDY KENNETH"/AU OR "CUNDY KENNETH C"/AU) E SASIKUMAR V/AU

7 SEA ABB=ON PLU=ON ("SASIKUMAR V"/AU OR "SASIKUMAR VIVEK"/AU L10 OR "SASIKUMAR VIVEK A"/AU OR "SASIKUMAR VIVEK S"/AU) E WOIWODE T/AU

"WOIWODE THOMAS W"/AU 1 SEA ABB=ON PLU=ON

L11 24 SEA ABB=ON PLU=ON (L7 AND (L8 OR L9 OR L10 OR L11)) OR (L8 L12 AND (L9 OR L10 OR L11)) OR (L9 AND (L10 OR L11)) OR (L10 AND L11)

FILE 'STNGUIDE' ENTERED AT 10:12:34 ON 19 MAY 2006

FILE 'REGISTRY' ENTERED AT 10:17:40 ON 19 MAY 2006

D SCAN L4

16 SEA SSS FUL L2 L13 D SCAN

FILE 'CAPLUS' ENTERED AT 10:19:08 ON 19 MAY 2006 1 SEA ABB=ON PLU=ON L13 L14 D BIB

FILE 'BEILSTEIN' ENTERED AT 10:20:01 ON 19 MAY 2006 0 SEA SSS FUL L2 L15

FILE 'MARPAT' ENTERED AT 10:20:18 ON 19 MAY 2006

0 SEA SSS SAM L2 L16 L17 7 SEA SSS FUL L2

2 SEA ABB=ON PLU=ON L17/COM L18 1 SEA ABB=ON PLU=ON L18 NOT L14 L19

#### => file caplus

FILE 'CAPLUS' ENTERED AT 10:24:03 ON 19 MAY 2006 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2006 AMERICAN CHEMICAL SOCIETY (ACS)

Copyright of the articles to which records in this database refer is held by the publishers listed in the PUBLISHER (PB) field (available for records published or updated in Chemical Abstracts after December 26, 1996), unless otherwise indicated in the original publications. The CA Lexicon is the copyrighted intellectual property of the American Chemical Society and is provided to assist you in searching databases on STN. Any dissemination, distribution, copying, or storing of this information, without the prior written consent of CAS, is strictly prohibited.

05/19/2006 Saloni Sharma

FILE COVERS 1907 - 19 May 2006 VOL 144 ISS 22 FILE LAST UPDATED: 18 May 2006 (20060518/ED)

Effective October 17, 2005, revised CAS Information Use Policies apply. They are available for your review at:

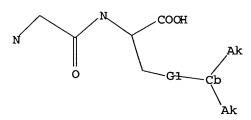
http://www.cas.org/infopolicy.html

'OBI' IS DEFAULT SEARCH FIELD FOR 'CAPLUS' FILE

=> d que 114

STR L2





G1 [@1-@2], [@3-@4]

Structure attributes must be viewed using STN Express query preparation.

L13 16 SEA FILE=REGISTRY SSS FUL L2

L14 1 SEA FILE=CAPLUS ABB=ON PLU=ON L13

=> d ibib abs hitstr 114 tot

L14 ANSWER 1 OF 1 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2005:15965 CAPLUS

DOCUMENT NUMBER: 142:94139

TITLE: Preparation of amino acid-derived prodrugs of propofol

Gallop, Mark A.; Xu, Feng; Cundy, Kenneth C.; Sasikumar, Vivek; Woiwode, Thomas W. INVENTOR(S):

PATENT ASSIGNEE(S):

U.S. Pat. Appl. Publ., 52 pp. SOURCE:

CODEN: USXXCO

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

```
DATE
                                        APPLICATION NO.
    PATENT NO.
                      KIND
                                                               DATE
                       ----
                              -----
                                         -----
    US 2005004381
                       A1
                              20050106 US 2004-766990 20040128
                              20050310 AU 2004-268492
    AU 2004268492
                       A1
                                                               20040128
                              20050310 CA 2004-2510677
20050310 WO 2004-US2537
    CA 2510677
                       AA
                                                               20040128
    WO 2005021024
                       A1
           AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH,
            CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD,
            GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,
            LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI,
            NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY,
            TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW
        RW: BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ,
            BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE,
            ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK,
            TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
    EP 1587527
                        A1 20051026 EP 2004-706490
                                                              20040128
           AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, SK
                                       CN 2004-80002967
    CN 1744908
                              20060308
                                                                20040128
                        Α
                                          NO 2005-3972
    NO 2005003972
                              20050825
                        Α
                                                                20050825
PRIORITY APPLN. INFO.:
                                          US 2003-443315P
                                                             P 20030128
                                          WO 2004-US2537
                                                             W 20040128
```

OTHER SOURCE(S): MARPAT 142:94139

The invention provides propofol (2,6-diisopropylphenol; HOQ) prodrugs, including methods for their synthesis and use to treat or prevent diseases or disorders such as migraine headache pain and post-chemotherapy or post-operative surgery nausea and vomiting. Amino acid and peptide prodrugs R1NHCH[(CH2)1-2-X-CO(CHR3)0-10Q]COR2 [X is a bond, CH2, imino, O or S; R1 is H, R5NH(CHR4)1-2CO, R6, R6CO or R6O2C; R2 is OR7 or NR8(CHR9)1-2CO2R7; R3 is H, (un)substituted alkyl, aryl, carbamoyl, cycloalkyl, etc.; R4, R9 are independently H, (un)substituted alkyl, alkoxy, acyl, alkoxycarbonyl, aryl, arylalkyl, carbamoyl, cycloalkyl, cycloheteroalkyl, heteroalkyl, heteroaryl or heteroarylalkyl; or R4 and R5 or R8 and R9 on adjacent atoms form cycloheteroalkyl; R5 is H, R6 or R6CO; R6, R8 are independently (un) substituted alkyl, aryl, arylalkyl, cycloalkyl, cycloheteroalkyl, heteroaryl or heteroarylalkyl; R7 is H or R6] or their pharmaceutically-acceptable salts or N-oxides are claimed. Thus, H-Glu(OQ)-Asp-OH was prepared and had oral bioavailability as propofol > 40%.

IT 819815-11-7P 819815-12-8P 819816-32-5P 819816-37-0P 819816-38-1P 819816-39-2P

RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(preparation of amino acid-derived prodrugs of propofol)

RN 819815-11-7 CAPLUS

CN L-Aspartic acid, glycyl-, 24-anhydride with 2,6-bis(1-methylethyl)phenyl hydrogen carbonate (9CI) (CA INDEX NAME)

Absolute stereochemistry.

05/19/2006

Absolute stereochemistry.

RN 819816-32-5 CAPLUS

CN L-Aspartic acid, L- $\alpha$ -aspartyl-, 24-[[2,6-bis(1-methylethyl)phenoxy]methyl] ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 819816-37-0 CAPLUS

CN L-Aspartic acid, L-asparaginyl-, 24-[[2,6-bis(1methylethyl)phenoxy]methyl] ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 819816-38-1 CAPLUS

CN L-Aspartic acid, L-glutaminyl-, 24-[[2,6-bis(1-methylethyl)phenoxy]methyl] ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.

$$\begin{array}{c|c} & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ &$$

RN 819816-39-2 CAPLUS

CN L-Aspartic acid, L-threonyl-, 24-[[2,6-bis(1-methylethyl)phenoxy]methyl] ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.

#### IT 819816-27-8P 819816-30-3P 819816-31-4P

819816-36-9P 819816-40-5P 819816-41-6P 819816-42-7P 819816-43-8P 819816-44-9P 819817-02-2P

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)

(preparation of amino acid-derived prodrugs of propofol)

RN 819816-27-8 CAPLUS

Absolute stereochemistry.

Absolute stereochemistry.

$$\begin{array}{c|c} & & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ &$$

Absolute stereochemistry.

RN 819816-36-9 CAPLUS

CN L-Aspartic acid, L-histidyl-, 24-[[2,6-bis(1-methylethyl)phenoxy]methyl] ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 819816-40-5 CAPLUS

CN L-Aspartic acid, L-seryl-, 24-[[2,6-bis(1-methylethyl)phenoxy]methyl] ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 819816-41-6 CAPLUS

CN L-Aspartic acid, glycyl-, 24-[[2,6-bis(1-methylethyl)phenoxy]methyl] ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 819816-42-7 CAPLUS

CN L-Aspartic acid, L-α-glutamyl-, 24-[[2,6-bis(1-methylethyl)phenoxy]methyl] ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 819816-43-8 CAPLUS

CN L-Aspartic acid, L-tyrosyl-, 24-[[2,6-bis(1-methylethyl)phenoxy]methyl] ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 819816-44-9 CAPLUS

CN L-Aspartic acid, L-alanyl-, 24-[[2,6-bis(1-methylethyl)phenoxy]methyl]
 ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 819817-02-2 CAPLUS

CN L-Aspartic acid, L-tryptophyl-, 24-[[2,6-bis(1-methylethyl)phenoxy]methyl] ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.

#### Inventor Search

=> d que 112

**L7** 

L8

L9

113 SEA FILE=CAPLUS ABB=ON PLU=ON ("GALLOP M"/AU OR "GALLOP M
A"/AU OR "GALLOP MARC"/AU OR "GALLOP MARK"/AU OR "GALLOP MARK
A"/AU)

1186 SEA FILE=CAPLUS ABB=ON PLU=ON ("XU F"/AU OR "XU F C"/AU OR

"XU F D"/AU OR "XU F F"/AU OR "XU F H"/AU OR "XU F J"/AU OR

"XU F L"/AU OR "XU F LAUREN"/AU OR "XU F M"/AU OR "XU F P"/AU

OR "XU F Q"/AU OR "XU F R"/AU OR "XU F S"/AU OR "XU F T"/AU OR

"XU F X"/AU OR "XU F Y"/AU OR "XU F Z"/AU OR "XU FENG"/AU OR

"XU FENG BIN"/AU OR "XU FENG BO"/AU OR "XU FENG CAI"/AU OR "XU

FENG DAN"/AU OR "XU FENG FENG"/AU OR "XU FENG GUANG"/AU OR "XU

FENG HAO"/AU OR "XU FENG HE"/AU OR "XU FENG HEH"/AU OR "XU

FENG HUA"/AU OR "XU FENG HUANG"/AU OR "XU FENG J"/AU OR "XU

FENG JI"/AU OR "XU FENG LAN"/AU OR "XU FENG QIN"/AU OR "XU

FENG LING"/AU OR "XU FENG MING"/AU OR "XU FENG XIA"/AU OR "XU

FENG XIU"/AU OR "XU FENG XUN"/AU OR "XU FENG XIA"/AU OR "XU

FENG XIU"/AU OR "XU FENG XUN"/AU OR "XU FENG ZI"/AU)

86 SEA FILE=CAPLUS ABB=ON PLU=ON ("CUNDY K"/AU OR "CUNDY K

```
C"/AU OR "CUNDY KEN"/AU OR "CUNDY KENNETH"/AU OR "CUNDY KENNETH C"/AU)

L10

7 SEA FILE=CAPLUS ABB=ON PLU=ON ("SASIKUMAR V"/AU OR "SASIKUMAR VIVEK"/AU OR "SASIKUMAR VIVEK A"/AU OR "SASIKUMAR VIVEK S"/AU)

L11

1 SEA FILE=CAPLUS ABB=ON PLU=ON "WOIWODE THOMAS W"/AU

L12

24 SEA FILE=CAPLUS ABB=ON PLU=ON (L7 AND (L8 OR L9 OR L10 OR L11)) OR (L8 AND (L9 OR L10 OR L11)) OR (L10 AND L11)
```

#### => d ibib abs l12 tot

L12 ANSWER 1 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2006:152690 CAPLUS

DOCUMENT NUMBER: 144:233376

TITLE: Preparation of amino acid derivative prodrugs of

propofol and pharmaceutical compositions containing

them

INVENTOR(S): Xu, Feng; Gallop, Mark A.

PATENT ASSIGNEE(S): Xenoport, Inc., USA

SOURCE: PCT Int. Appl., 77 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PA'     | TENT 1                 | NO.  |      |     | KIN | )   | DATE |       | i   | APPL | ICAT: | ION 1 | 10. |     | D    | ATE  |     |
|---------|------------------------|------|------|-----|-----|-----|------|-------|-----|------|-------|-------|-----|-----|------|------|-----|
| WO      | 2006                   | 0173 | 51   |     | A1  | -   | 2006 | 0216  | 1   | WO 2 | 005-1 | US249 | 907 |     | 20   | 0050 | 712 |
|         | W:                     | ΑE,  | AG,  | AL, | AM, | ΑT, | AU,  | ΑZ,   | BA, | BB,  | BG,   | BR,   | BW, | BY, | ΒZ,  | CA,  | CH, |
|         |                        | CN,  | CO,  | CR, | CU, | CZ, | DE,  | DK,   | DM, | DZ,  | EC,   | EE,   | EG, | ES, | FI,  | GB,  | GD, |
|         |                        | GE,  | GH,  | GM, | HR, | HU, | ID,  | IL,   | IN, | IS,  | JP,   | KE,   | KG, | KM, | KΡ,  | KR,  | KZ, |
|         | LC, LK, L<br>NG, NI, N |      |      |     |     | LT, | LU,  | LV,   | MA, | MD,  | MG,   | MK,   | MN, | MW, | MX,  | MZ,  | NA, |
|         |                        | NG,  | NI,  | NO, | NZ, | OM, | PG,  | PH,   | PL, | PT,  | RO,   | RU,   | SC, | SD, | SE,  | SG,  | SK, |
|         |                        | SL,  | SM,  | SY, | ΤJ, | TM, | TN,  | TR,   | TT, | TZ,  | UA,   | ŪĠ,   | US, | UZ, | VC,  | VN,  | YU, |
|         |                        | ZA,  | ZM,  | zw  |     |     |      |       |     |      |       |       |     |     |      |      |     |
|         | RW:                    | AT,  | BE,  | BG, | CH, | CY, | CZ,  | DE,   | DK, | EE,  | ES,   | FI,   | FR, | GB, | GR,  | HU,  | ΙE, |
|         |                        | IS,  | IT,  | LT, | LU, | LV, | MC,  | NL,   | PL, | PT,  | RO,   | SE,   | SI, | SK, | TR,  | BF,  | ВJ, |
|         |                        | CF,  | CG,  | CI, | CM, | GA, | GN,  | GQ,   | GW, | ML,  | MR,   | NE,   | SN, | TD, | TG,  | BW,  | GH, |
|         |                        | GM,  | ΚE,  | LS, | MW, | MZ, | NA,  | SD,   | SL, | SZ,  | TZ,   | ŪĠ,   | ZM, | ZW, | AM,  | AZ,  | BY, |
|         |                        | KG,  | ΚZ,  | MD, | RU, | ТJ, | TM   |       |     |      |       |       |     |     |      |      |     |
| US      | 2006                   | 0410 | 11   |     | A1  |     | 2006 | 0223  | 1   | US 2 | 005-  | 1803  | 32  |     | 20   | 0050 | 712 |
| PRIORIT | Y APP                  | LN.  | INFO | . : |     |     |      |       | 1   | US 2 | 004-  | 5874  | 59P | 1   | P 20 | 040  | 712 |
| OTHER S | OURCE                  | (S): |      |     | MAR | TAS | 144: | 2333' | 76  |      |       |       |     |     |      |      |     |

The invention provides propofol (2,6-diisopropylphenol; HOQ) prodrugs A-Y-CH2(CR1R2)n-X-CO2Q [R1, R2 is H, (un)substituted alkyl, aryl, arylalkyl, heteroalkyl, heteroaryl or heteroarylalkyl, or R1R2C is (un)substituted cycloalkyl or cycloheteroalkyl; A is H, (un)substituted acyl, alkyl, aryl, arylalkyl, heteroalkyl, heteroaryl or heteroarylalkyl; or A, Y and one of R1 and R2 together with the atoms to which they are bonded form a cycloheteroalkyl or substituted cycloheteroalkyl ring; Y is O or NR3; n is 1-5; X is NR4, O, CH2 or S; R3, R4 are independently H, (un)substituted alkyl or arylalkyl] or their-pharmaceutically acceptable salts, N-oxides, etc., which are used to treat or prevent diseases or disorders such as migraine headache pain and post chemotherapy or post operative surgery nausea and vomiting. Thus, H-L-Val-NH(CH2)3CO2Q.CF3CO2H was prepared via esterification and N-acylation reactions and was shown to

provide at least about 40 times higher oral bioavailability of propofol compared to the oral bioavailability of propofol itself.

REFERENCE COUNT: THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L12 ANSWER 2 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2006:147708 CAPLUS

DOCUMENT NUMBER: 144:213018

TITLE: Preparation of amino acid-derived prodrugs of propofol

and compositions containing them

INVENTOR (S): Xu, Feng; Gallop, Mark A.;

> Sasikumar, Vivek Xenoport, Inc., USA

PATENT ASSIGNEE(S): PCT Int. Appl., 61 pp. SOURCE:

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

| PAT   | rent i | NO.  |      |     | KIN | <b>o</b> 1 | DATE |      | i   | APPL | ICAT: | ION 1 | NO. |      | D    | ATE  |     |
|-------|--------|------|------|-----|-----|------------|------|------|-----|------|-------|-------|-----|------|------|------|-----|
|       |        |      |      |     |     | -          |      |      |     |      |       |       |     |      |      | :    |     |
| WO    | 2006   | 0173 | 52   |     | A1  |            | 2006 | 0216 | 1   | WO 2 | 005-1 | US24: | 915 |      | 20   | 0050 | 712 |
|       | W:     | ΑE,  | AG,  | AL, | AM, | AT,        | AU,  | ΑZ,  | BA, | BB,  | BG,   | BR,   | BW, | BY,  | BZ,  | CA,  | CH, |
|       |        | CN,  | CO,  | CR, | CU, | CZ,        | DE,  | DK,  | DM, | DZ,  | EC,   | EE,   | EG, | ES,  | FI,  | GB,  | GD, |
|       |        | GE,  | GH,  | GM, | HR, | HU,        | ID,  | IL,  | IN, | IS,  | JP,   | KE,   | KG, | KM,  | KΡ,  | KR,  | KZ, |
|       |        |      |      |     |     |            |      |      |     |      |       |       |     |      |      | MZ,  | -   |
|       |        | NG,  | NI,  | NO, | NZ, | OM,        | PG,  | PH,  | PL, | PT,  | RO,   | RU,   | SC, | SD,  | SE,  | SG,  | SK, |
|       |        | SL,  | SM,  | SY, | TJ, | TM,        | TN,  | TR,  | TT, | TZ,  | UA,   | UG,   | US, | UZ,  | VC,  | VN,  | YU, |
|       |        | ZA,  | ZM,  | ZW  |     |            |      |      |     |      |       |       |     |      |      |      |     |
|       | RW:    | AT,  | BE,  | BG, | CH, | CY,        | CZ,  | DE,  | DK, | EE,  | ES,   | FI,   | FR, | GB,  | GR,  | HU,  | ΙE, |
|       |        | IS,  | IT,  | LT, | LU, | LV,        | MC,  | NL,  | PL, | PT,  | RO,   | SE,   | SI, | SK,  | TR,  | BF,  | ВJ, |
|       |        | CF,  | CG,  | CI, | CM, | GA,        | GN,  | GQ,  | GW, | ML,  | MR,   | NE,   | SN, | TD,  | TG,  | BW,  | GH, |
|       |        | GM,  | ΚE,  | LS, | MW, | MZ,        | NA,  | SD,  | SL, | SZ,  | TZ,   | UG,   | ZM, | ZW,  | AM,  | AZ,  | BY, |
|       |        | KG,  | ΚZ,  | MD, | RU, | TJ,        | TM   |      |     |      |       |       |     |      |      |      |     |
| US    | 2006   | 1001 | 60   |     | A1  |            | 2006 | 0511 | 1   | US 2 | 005-  | 1800  | 64  |      | 20   | 0050 | 712 |
| ORITY | APP    | LN.  | INFO | . : |     |            |      |      | 1   | US 2 | 004-  | 5876  | 11P | 1    | P 20 | 0040 | 712 |
| ER SC | OURCE  | (S): |      |     | MAR | PAT        | 144: | 2130 | 18  |      |       |       |     |      |      |      |     |
| mb.   |        |      |      |     |     |            | -6-1 | 10   |     | ·    |       | 1 1   | 7   | 7700 |      |      |     |

PRIOR OTHER AΒ The invention provides propofol (2,6-diisopropylphenol; HOQ) prodrugs

R1NHCH(CHMeOCO2-Q)COR2 [R1 is H, R5NH(CHR4)1-2CO, R6, R6CO or R6O2C; R2 is OR7 or NR8(CHR9)1-2CO2R7; R4 is H, (un)substituted alkyl, alkoxy, acyl, alkoxycarbonyl, aryl, arylalkyl, carbamoyl, cycloalkyl, cycloheteroalkyl, heteroalkyl, heteroaryl or heteroarylalkyl; or R4 and R5 attached to adjacent atoms form cycloheteroalkyl; R5 is H, R6, R6CO or R6O2C; R6 is (un) substituted alkyl, aryl, arylalkyl, cycloalkyl, cycloheteroalkyl, heteroaryl or heteroarylalkyl; R7, R8 are H or groups defined for R6; R9 is a group defined for R4; or R8 and R9 attached to adjacent atoms form cycloheteroalkyl; with the proviso that when R2 is NR8(CHR9)1-2CO2R7 then R1 is not NR5(CHR4)1-2CO] or their-pharmaceutically acceptable salts, N-oxides, etc., which are used to treat or prevent diseases or disorders such as migraine headache pain and post chemotherapy or post operative surgery nausea and vomiting. Thus, H-Gly-Thr(CO2-Q)-OH was prepared via esterification and N-acylation reactions and was shown to provide at least about 40 times higher oral bioavailability of propofol compared to the oral bioavailability of propofol itself.

REFERENCE COUNT: THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L12 ANSWER 3 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER:

2005:1333577 CAPLUS

DOCUMENT NUMBER:

144:70108

TITLE:

Preparation of levodopa derivative prodrugs Xiang, Jia-Ning; Gallop, Mark A.; Zhou,

INVENTOR(S):

Cindy X.; Nguyen, Mark; Dai, Xuedong; Li, Jianhua;

Cundy, Kenneth C.; Jumbe, Nelson L.

PATENT ASSIGNEE(S):

Xenoport, Inc., USA

SOURCE:

PCT Int. Appl., 89 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PA      | TENT                                | NO.  |        |     | KIN  | D    | DATE |      | į    | APPL     | ICAT      | ION 1    | NO.     |      | D   | ATE  |     |
|---------|-------------------------------------|------|--------|-----|------|------|------|------|------|----------|-----------|----------|---------|------|-----|------|-----|
| WO      | 2005                                | 1210 | <br>69 |     | A1   | _    | 2005 | 1222 | 1    | <br>WO 2 | <br>005-1 | <br>US19 | <br>492 |      | 2   | 0050 | 603 |
|         | W:                                  | ΑE,  | AG,    | AL, | AM,  | AT,  | ΑU,  | AZ,  | BA,  | BB,      | BG,       | BR,      | BW,     | BY,  | ΒZ, | CA,  | CH, |
|         |                                     | CN,  | CO,    | CR, | CU,  | CZ,  | DE,  | DK,  | DM,  | DZ,      | EC,       | EE,      | EG,     | ES,  | FI, | GB,  | GD, |
|         |                                     | GE,  | GH,    | GM, | HR,  | HU,  | ID,  | IL,  | IN,  | IS,      | JP,       | ΚE,      | KG,     | KM,  | ΚP, | KR,  | ΚZ, |
|         |                                     | LR,  | LS,    | LT, | LU,  | LV,  | MA,  | MD,  | MG,  | MK,      | MN,       | MW,      | MX,     | MZ,  | NA, |      |     |
|         | LC, LK, I<br>NG, NI, I<br>SL, SM, S |      |        |     |      | OM,  | PG,  | PH,  | PL,  | PT,      | RO,       | RU,      | SC,     | SD,  | SE, | SG,  | SK, |
|         |                                     | SL,  | SM,    | SY, | TJ,  | TM,  | TN,  | TR,  | TT,  | TZ,      | UA,       | UG,      | US,     | UΖ,  | VC, | VN,  | ΥU, |
|         |                                     | ZA,  | ZM,    | zw  |      |      |      |      |      |          |           |          |         |      |     |      |     |
|         | RW:                                 | BW,  | GH,    | GM, | KE,  | LS,  | MW,  | MZ,  | NA,  | SD,      | SL,       | SZ,      | TZ,     | UG,  | ZM, | ZW,  | AM, |
|         |                                     | ΑZ,  | BY,    | KG, | KZ,  | MD,  | RU,  | TJ,  | TM,  | ΑT,      | BE,       | BG,      | CH,     | CY,  | CZ, | DE,  | DK, |
|         |                                     | EE,  | ES,    | FI, | FR,  | GB,  | GR,  | HU,  | ΙE,  | IS,      | IT,       | LT,      | LU,     | MC,  | NL, | PL,  | PT, |
|         |                                     | RO,  | SE,    | SI, | SK,  | TR,  | BF,  | ВJ,  | CF,  | CG,      | CI,       | CM,      | GA,     | GN,  | GQ, | GW,  | ML, |
|         |                                     | MR,  | NE,    | SN, | TD,  | TG   |      |      |      |          |           |          |         |      |     |      |     |
| US      | 2005                                |      | A1     |     | 2005 | 1222 | 1    | US 2 | 005- | 1451     | 59        |          | 2       | 0050 | 503 |      |     |
| PRIORIT | Y APP                               | LN.  | INFO   | . : |      |      |      |      | 1    | US 2     | 004-      | 5770     | 87P     | ]    | P 2 | 0040 | 504 |
| OTHER S | OURCE                               | (S): |        |     | MAR  | PAT  | 144: | 7010 | В    |          |           |          |         |      |     |      |     |
| GI      |                                     |      |        |     |      |      |      |      |      |          |           |          |         |      |     |      |     |

$$R^4$$
 $Q$ 
 $R^3$ 
 $Q$ 
 $R^1$ 
 $R^2$ 
 $R^5$ 
 $NH_2$ 

AB The invention relates to levodopa derivs. I [Q is X-CO or CO-X, where X is O, NH, alkyl- or arylimino; n is 2-4; R1, R2, R5 are H, (un)substituted alkyl, aryl, arylalkyl, cycloalkyl, heteroalkyl, cycloheteroalkyl, heteroaryl, heteroarylalkyl, etc.; R3, R4 are independently acyl, esters groups, acyloxyalkyl, etc.] or their stereoisomers and pharmaceutically-acceptable salts, including methods for their use as prodrugs. Thus, treatment of cyclohexanol with 2-bromopropionyl chloride and then Boc-DOPA afforded diastereoisomers 1(R)- and 1(S)-

Ι

cyclohexyloxycarbonylethyl 2(S)-amino-3-(3,4-dihydroxyphenyl)propanoate. REFERENCE COUNT: THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L12 ANSWER 4 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

2005:1328684 CAPLUS ACCESSION NUMBER:

DOCUMENT NUMBER: 144:51895

Preparation of levodopa derivative prodrugs TITLE:

Xiang, Jia-Ning; Gallop, Mark A.; Zhou, INVENTOR(S):

Cindy X.; Nguyen, Mark Q.; Dai, Xuedong; Li, Jianhua;

Cundy, Kenneth C.

PATENT ASSIGNEE(S): Xenoport, Inc., USA PCT Int. Appl., 61 pp. SOURCE:

CODEN: PIXXD2

DOCUMENT TYPE: Patent English LANGUAGE:

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

| PATENT       | NO.            |     | KIN | )   | DATE |      | 7   | APPL | ICAT: | I NOI | NO. |              | D   | ATE  |     |
|--------------|----------------|-----|-----|-----|------|------|-----|------|-------|-------|-----|--------------|-----|------|-----|
|              | <del>-</del> - |     |     | -   |      |      |     |      |       |       |     | <del>-</del> |     |      |     |
| WO 2005      | 121070         |     | A1  |     | 2005 | 1222 | 1   | WO 2 | 005-1 | JS194 | 493 |              | 20  | 0050 | 503 |
| W:           | AE, AG,        | AL, | AM, | ΑT, | AU,  | ΑZ,  | BA, | BB,  | BG,   | BR,   | BW, | BY,          | ΒZ, | CA,  | CH, |
|              | CN, CO,        | CR, | CU, | CZ, | DE,  | DK,  | DM, | DZ,  | EC,   | EE,   | EG, | ES,          | FI, | GB,  | GD, |
|              | GE, GH,        | GM, | HR, | HU, | ID,  | IL,  | IN, | IS,  | JP,   | ΚE,   | KG, | KM,          | ΚP, | KR,  | KΖ, |
|              | LC, LK,        | LR, | LS, | LT, | LU,  | LV,  | MA, | MD,  | MG,   | MK,   | MN, | MW,          | MX, | MZ,  | NA, |
|              | NG, NI,        | NO, | NZ, | OM, | PG,  | PH,  | ΡL, | PT,  | RO,   | RU,   | SC, | SD,          | SE, | SG,  | SK, |
|              | SL, SM,        | SY, | ТJ, | TM, | TN,  | TR,  | TT, | TZ,  | UA,   | UG,   | US, | UZ,          | VC, | VN,  | YU, |
|              | ZA, ZM,        | ZW  |     |     |      |      |     |      |       |       |     |              |     |      |     |
| RW:          | BW, GH,        | GM, | KΕ, | LS, | MW,  | MZ,  | NA, | SD,  | SL,   | SZ,   | TZ, | UG,          | ZM, | ZW,  | AM, |
|              | AZ, BY,        | KG, | KZ, | MD, | RU,  | ТJ,  | TM, | AT,  | BE,   | BG,   | CH, | CY,          | CZ, | DE,  | DK, |
|              | EE, ES,        | FΙ, | FR, | GB, | GR,  | HU,  | ΙE, | IS,  | IT,   | LT,   | LU, | MC,          | ΝL, | ΡL,  | PT, |
|              | RO, SE,        | SI, | SK, | TR, | BF,  | ВJ,  | CF, | CG,  | CI,   | CM,   | GA, | GN,          | GQ, | GW,  | ML, |
|              | MR, NE,        | SN, | TD, | TG  |      |      |     |      |       |       |     |              |     |      |     |
| US 2006      | 020028         |     | A1  |     | 2006 | 0126 | ,   | US 2 | 005-  | 1452  | 80  |              | 2   | 0050 | 603 |
| PRIORITY APP | LN. INFO       | ).: |     |     |      |      | •   | US 2 | 004-  | 5770  | 65P |              | P 2 | 0040 | б04 |
| OTHER SOURCE | (S):           |     | MAR | PAT | 144: | 5189 | 5   |      |       |       |     |              |     |      |     |
| CT           |                |     |     |     |      |      |     |      |       |       |     |              |     |      |     |

The invention relates to levodopa derivs. I [n is 1-6; R1, R2, R5 are AB (un) substituted alkyl, aryl, arylalkyl, cycloalkyl, heteroalkyl, cycloheteroalkyl, heteroaryl, heteroarylalkyl, etc. (R1 and R2 may also be

Ι

GI

H); R3, R4 are independently acyl, esters groups, acyloxyalkyl, etc.} or their stereoisomers and pharmaceutically-acceptable salts, including methods for their use as prodrugs. Thus, a suspension of N-Boc-L-dopa, 2-(4-fluorophenoxy)ethyl bromide and K2CO3 in DMA was stirred at 65°C overnight. Work-up and treatment with 4.0M HCl in 1,4-dioxane afforded 2-(4-fluorophenoxy)ethyl 2(S)-amino-3-(3,4dihydroxyphenyl)propanoate hydrochloride.

REFERENCE COUNT: 3 THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L12 ANSWER 5 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2005:232583 CAPLUS

DOCUMENT NUMBER:

142:291418

TITLE:

Aromatic prodrugs of propofol, their preparation,

compositions, and therapeutic uses

INVENTOR(S): Gallop, Mark A.; Xu, Feng

PATENT ASSIGNEE(S):

Xenoport, Inc., USA PCT Int. Appl., 101 pp.

SOURCE:

CODEN: PIXXD2

DOCUMENT TYPE: LANGUAGE :

Patent

English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT       | NO.   |      |     | KIN  | D   | DATE |      |     | APPL | ICAT:     | ION 1 | NO. |     | D   | ATE  |         |
|--------------|-------|------|-----|------|-----|------|------|-----|------|-----------|-------|-----|-----|-----|------|---------|
| WO 2005      | 02320 | 04   |     | A2   | -   | 2005 | 0317 | 1   | WO 2 | <br>004-1 | JS30: | 999 |     | 2   | 0040 | <br>909 |
| W:           |       |      |     | AM,  |     |      |      |     |      |           |       |     |     |     |      | -       |
|              |       |      |     | CU,  |     |      |      |     |      |           |       |     |     |     |      |         |
|              | GE,   | GH,  | GM, | HR,  | ΗU, | ID,  | IL,  | IN, | IS,  | JP,       | KE,   | KG, | KP, | KR, | KZ,  | LC,     |
|              | LK,   | LR,  | LS, | LT,  | LU, | LV,  | MA,  | MD, | MG,  | MK,       | MN,   | MW, | MX, | MZ, | NA,  | NI,     |
|              | NO,   | NZ,  | OM, | PG,  | PH, | PL,  | PT,  | RO, | RU,  | SC,       | SD,   | SE, | SG, | SK, | SL,  | SY,     |
|              | ТJ,   | TM,  | TN, | TR,  | TT, | TZ,  | UΑ,  | UG, | US,  | UZ,       | VC,   | VN, | ΥU, | ZA, | ZM,  | ZW      |
| RW:          | BW,   | GH,  | GM, | KE,  | LS, | MW,  | MZ,  | NA, | SD,  | SL,       | SZ,   | TZ, | UG, | ZM, | ZW,  | AM,     |
|              | ΑZ,   | BY,  | KG, | KZ,  | MD, | RU,  | ТJ,  | TM, | ΑT,  | BE,       | BG,   | CH, | CY, | CZ, | DE,  | DK,     |
|              | EE,   | ES,  | FI, | FR,  | GB, | GR,  | HU,  | ΙE, | IT,  | LU,       | MC,   | NL, | PL, | PT, | RO,  | SE,     |
|              | SI,   | SK,  | TR, | BF,  | ВJ, | CF,  | CG,  | CI, | CM,  | GΑ,       | GN,   | GQ, | GW, | ML, | MR,  | NE,     |
|              | SN,   | TD,  | TG  |      |     |      |      |     |      |           |       |     |     |     |      |         |
| US 2005      | 10738 | 85   |     | A1   |     | 2005 | 0519 | 1   | US 2 | 004-      | 9580  | 89  |     | 2   | 0040 | 909     |
| PRIORITY APP | LN.   | INFO | . : |      |     |      |      | 1   | US 2 | 003-      | 5016  | 09P |     | P 2 | 0030 | 909     |
| OTHER SOURCE | (S):  |      |     | MAR: | PAT | 142: | 2914 | 18  |      |           |       |     |     |     |      |         |

The invention discloses prodrugs of propofol, methods of making prodrugs AR of propofol, pharmaceutical compns. of prodrugs of propofol, and methods of using prodrugs of propofol and pharmaceutical compns. thereof to treat or prevent diseases or disorders such as migraine headache pain and post-chemotherapy or post-operative surgery nausea and vomiting.

L12 ANSWER 6 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2005:15965 CAPLUS

DOCUMENT NUMBER: 142:94139

TITLE: Preparation of amino acid-derived prodrugs of propofol

INVENTOR(S): Gallop, Mark A.; Xu, Feng;

Cundy, Kenneth C.; Sasikumar, Vivek;

Woiwode, Thomas W.

PATENT ASSIGNEE(S): USA

SOURCE: U.S. Pat. Appl. Publ., 52 pp.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:

| PA      | rent :                  | NO.  |        |     | KINI | ) | DATE |      |   |   |          |   | - |   | Di  | ATE  |     |    |
|---------|-------------------------|------|--------|-----|------|---|------|------|---|---|----------|---|---|---|-----|------|-----|----|
| IIS.    | 2005                    | 0043 | <br>81 |     | A1   | - | 2005 | 0106 |   |   | <br>004- |   |   |   | 2.0 | 0040 | 128 |    |
|         | 2004                    |      |        |     |      |   | 2005 |      |   |   |          |   |   |   |     |      |     |    |
|         | 2510                    |      |        |     | AA   |   | 2005 |      |   |   |          |   |   |   |     |      |     |    |
|         | 2005                    |      |        |     |      |   | 2005 |      |   |   |          |   |   |   |     |      |     |    |
| 0       | W:                      |      |        |     |      |   | AU,  |      |   |   |          |   |   |   | _   |      |     |    |
|         |                         | •    |        | -   |      |   | DE,  |      |   | • | •        |   |   |   | •   |      | •   |    |
|         |                         |      |        |     |      |   | ID,  |      |   |   |          |   |   |   |     |      |     |    |
|         |                         |      |        |     |      |   | LV,  |      | - |   | •        | • | • | • | •   | •    | •   |    |
|         |                         | •    |        |     |      | - | PL,  |      | - | • | •        |   | • |   | •   |      | •   |    |
|         |                         |      | -      |     |      |   | TZ,  |      | - |   | •        |   |   |   | •   | •    | •   |    |
|         | RW:                     |      | •      | •   |      |   | MW,  |      | - |   | •        |   | • |   | •   | •    |     |    |
|         |                         | •    | •      | •   | •    |   | TJ,  | •    | • | • | •        | • | • | • | •   | •    | •   |    |
|         |                         | •    |        | •   | •    |   | HU,  | •    |   |   | •        | • | • | • | •   | •    | •   |    |
|         |                         |      | -      | -   | -    |   | CI,  | -    | - |   | •        |   | • |   |     | •    | •   | TG |
| EP      | 1587                    |      | -      | ,   |      |   | 2005 | -    | - |   |          |   |   |   | •   | 0040 | -   |    |
|         | R:                      | AT.  | BE.    | CH. |      |   | ES,  |      |   |   |          |   |   |   |     | MC.  | PT. |    |
|         |                         |      |        |     |      |   |      |      |   |   |          |   |   |   |     |      | ,   |    |
| CN      | IE, SI, I<br>CN 1744908 |      |        |     |      |   |      |      |   |   |          | • | • | • | •   |      | 128 |    |
|         | 2005                    |      |        |     |      |   |      |      |   |   |          |   |   |   |     |      |     |    |
| PRIORIT |                         |      |        |     |      |   |      |      |   |   | 003-     |   |   |   |     |      |     |    |
|         |                         |      |        |     |      |   |      |      |   |   | 004-     |   |   |   |     |      |     |    |

OTHER SOURCE(S): MARPAT 142:94139

The invention provides propofol (2,6-diisopropylphenol; HOQ) prodrugs, including methods for their synthesis and use to treat or prevent diseases or disorders such as migraine headache pain and post-chemotherapy or post-operative surgery nausea and vomiting. Amino acid and peptide prodrugs R1NHCH[(CH2)1-2-X-CO(CHR3)0-10Q]COR2 [X is a bond, CH2, imino, O or S; R1 is H, R5NH(CHR4)1-2CO, R6, R6CO or R6O2C; R2 is OR7 or NR8(CHR9)1-2CO2R7; R3 is H, (un)substituted alkyl, aryl, carbamoyl, cycloalkyl, etc.; R4, R9 are independently H, (un) substituted alkyl, alkoxy, acyl, alkoxycarbonyl, aryl, arylalkyl, carbamoyl, cycloalkyl, cycloheteroalkyl, heteroalkyl, heteroaryl or heteroarylalkyl; or R4 and R5 or R8 and R9 on adjacent atoms form cycloheteroalkyl; R5 is H, R6 or R6CO; R6, R8 are independently (un) substituted alkyl, aryl, arylalkyl, cycloalkyl, cycloheteroalkyl, heteroaryl or heteroarylalkyl; R7 is H or R6] or their pharmaceutically-acceptable salts or N-oxides are claimed. Thus, H-Glu(OQ)-Asp-OH was prepared and had oral bioavailability as propofol > 40%.

```
L12 ANSWER 7 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN
ACCESSION NUMBER:
                         2004:846867 CAPLUS
DOCUMENT NUMBER:
                         142:199
TITLE:
                         XP13512 [(\pm)-1-([(\alpha-
                         isobutanoyloxyethoxy) carbonyl] aminomethyl) -1-
                         cyclohexaneacetic acid], a novel gabapentin prodrug:
                         II. Improved oral bioavailability, dose
                         proportionality, and colonic absorption compared with
                         gabapentin in rats and monkeys
                         Cundy, Kenneth C.; Annamalai, Thamil; Bu,
AUTHOR(S):
                         Lin; de Vera, Josephine; Estrela, Jenny; Luo, Wendy;
                         Shirsat, Payal; Torneros, Allan; Yao, Fenmei; Zou,
                         Joan; Barrett, Ronald W.; Gallop, Mark A.
CORPORATE SOURCE:
                         XenoPort, Inc., Santa Clara, CA, USA
SOURCE:
                         Journal of Pharmacology and Experimental Therapeutics
```

(2004), 311(1), 324-333

CODEN: JPETAB; ISSN: 0022-3565

PUBLISHER: American Society for Pharmacology and Experimental

Therapeutics

DOCUMENT TYPE:

Journal

LANGUAGE: English

The absorption of gabapentin (Neurontin) is dose-dependent and variable between patients. Rapid clearance of the drug necessitates dosing three or more times per day to maintain therapeutic levels. These deficiencies appear to result from the low capacity, limited intestinal distribution, and variable expression of the solute transporter responsible for qabapentin absorption. Saturation of this transporter at doses used clin. leads to unpredictable drug exposure and potentially ineffective therapy in some patients. XP13512 is a novel prodrug of gabapentin designed to be absorbed by high-capacity nutrient transporters located throughout the intestine. XP13512 was efficiently absorbed and rapidly converted to gabapentin after oral dosing in rats and monkeys. Exposure to gabapentin was proportional to prodrug dose, whereas exposure to intact XP13512 was low. In rats, >95% of an oral dose of 14C-XP13512 was excreted in urine in 24 h as gabapentin. In monkeys, oral bioavailability of gabapentin from XP13512 capsules was 84.2% compared with 25.4% after a similar oral Neurontin dose. Compared with intracolonic gabapentin, intracolonic XP13512 gave a 17-fold higher gabapentin exposure in rats and 34-fold higher in monkeys. XP13512 may therefore be incorporated into a sustained release formulation to provide extended gabapentin exposure. XP13512 demonstrated improved gabapentin bioavailability, increased dose proportionality, and enhanced colonic absorption. In clin. use, XP13512 may improve the treatment of neuropathic pain, epilepsy, and numerous other conditions by increasing efficacy, reducing interpatient variability, and decreasing frequency of dosing.

REFERENCE COUNT:

THERE ARE 34 CITED REFERENCES AVAILABLE FOR THIS 34 RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L12 ANSWER 8 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2004:846866 CAPLUS

DOCUMENT NUMBER:

142:198

TITLE:

XP13512  $[(\pm)-1-([(\alpha-$ 

isobutanoyloxyethoxy) carbonyl] aminomethyl) -1-

cyclohexaneacetic acid], a novel gabapentin prodrug:

I. Design, synthesis, enzymatic conversion to gabapentin, and transport by intestinal solute

transporters

AUTHOR (S):

Cundy, Kenneth C.; Branch, Russell;

Chernov-Rogan, Tania; Dias, Tracy; Estrada, Tono; Hold, Karin; Koller, Kerry; Liu, Xiaoli; Mann, Adam;

Panuwat, Matt; Raillard, Stephen P.; Upadhyay, Shubhra; Wu, Quincey Q.; Xiang, Jia-Ning; Yan, Hui; Zerangue, Noa; Zhou, Cindy X.; Barrett, Ronald W.;

Gallop, Mark A.

CORPORATE SOURCE:

XenoPort, Inc., Santa Clara, CA, USA

SOURCE:

Journal of Pharmacology and Experimental Therapeutics

(2004), 311(1), 315-323 CODEN: JPETAB; ISSN: 0022-3565

PUBLISHER:

American Society for Pharmacology and Experimental

Therapeutics

DOCUMENT TYPE:

Journal

LANGUAGE:

English

Gabapentin is thought to be absorbed from the intestine of humans and animals by a low-capacity solute transporter localized in the upper small

intestine. Saturation of this transporter at doses used clin. leads to dose-dependent pharmacokinetics and high interpatient variability, potentially resulting in suboptimal drug exposure in some patients. XP13512 is a novel prodrug of gabapentin designed to be absorbed throughout the intestine by high-capacity nutrient transporters. was stable at physiol. pH but rapidly converted to gabapentin in intestinal and liver tissue from rats, dogs, monkeys, and humans. XP13512 was not a substrate or inhibitor of major cytochrome P 450 isoforms in transfected baculosomes or liver homogenates. The separated isomers of XP13512 showed similar cleavage in human tissues. The prodrug demonstrated active apical to basolateral transport across Caco-2 cell monolayers and pH-dependent passive permeability across artificial membranes. XP13512 inhibited uptake of 14C-lactate by human embryonic kidney cells expressing monocarboxylate transporter type-1, and direct uptake of prodrug by these cells was confirmed using liquid chromatog.-tandem mass spectrometry. XP13512 inhibited uptake of 3H-biotin into Chinese hamster ovary cells overexpressing human sodium-dependent multivitamin transporter (SMVT). Specific transport by SMVT was confirmed by oocyte electrophysiol. studies and direct uptake studies in human embryonic kidney cells after tetracycline-induced expression of SMVT. XP13512 is therefore a substrate for several high-capacity absorption pathways present throughout the intestine. Therefore, administration of the prodrug should result in improved gabapentin bioavailability, dose proportionality, and colonic absorption compared with administration of gabapentin.

REFERENCE COUNT: 41 THERE ARE 41 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L12 ANSWER 9 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2004:515471 CAPLUS

DOCUMENT NUMBER:

141:71827

TITLE: INVENTOR(S): Preparation of carbidopa prodrugs

Xiang, Jia-ning; Gallop, Mark A.;
Cundy, Kenneth C.; Li, Jianhua; Xu,

Feng; Zhou, Cindy X.; Bhat, Laxminarayan

PATENT ASSIGNEE(S): Xenoport, Inc., USA

SOURCE: PCT Int. Appl., 144 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT 1     | NO.      | K     | IND   | DATE  |      | 7   | APPL. | ICAT: | ION I | NO. |     | D    | ATE  |     |    |
|--------------|----------|-------|-------|-------|------|-----|-------|-------|-------|-----|-----|------|------|-----|----|
|              |          | -     |       |       |      |     |       |       |       |     |     |      |      |     |    |
| WO 2004      | 052841   |       | A1    | 2004  | 0624 | 1   | WO 2  | 003-1 | US38' | 742 |     | 20   | 0031 | 208 |    |
| ₩:           | AE, AG,  | AL, A | M, AT | AU,   | ΑZ,  | BA, | BB,   | BG,   | BR,   | BW, | BY, | ΒZ,  | CA,  | CH, |    |
|              | CN, CO,  | CR, C | J, CZ | DE,   | DK,  | DM, | DZ,   | EC,   | EE,   | EG, | ES, | FI,  | GB,  | GD, |    |
|              | GE, GH,  | GM, H | R, HU | ID,   | IL,  | IN, | IS,   | JP,   | ΚE,   | KG, | ΚP, | KR,  | ΚZ,  | LC, |    |
|              | LK, LR,  | LS, L | r, LU | LV,   | MA,  | MD, | MG,   | MK,   | MN,   | MW, | MX, | MZ,  | NI,  | NO, |    |
|              | NZ, OM,  | PG, P | H, PL | PT,   | RO,  | RU, | SC,   | SD,   | SE,   | SG, | SK, | SL,  | SY,  | ТJ, |    |
|              | TM, TN,  | TR, T | r, TZ | UA,   | ŪĠ,  | US, | UZ,   | VC,   | VN,   | ΥU, | ZA, | ZM,  | ZW   |     |    |
| RW:          | BW, GH,  | GM, K | E, LS | , MW, | MZ,  | SD, | SL,   | SZ,   | TZ,   | UG, | ZM, | ZW,  | AM,  | ΑZ, |    |
|              | BY, KG,  | KZ, M | D, RU | TJ,   | TM,  | ΑT, | BE,   | BG,   | CH,   | CY, | CZ, | DE,  | DK,  | EE, |    |
|              | ES, FI,  | FR, G | B, GR | , HU, | ΙE,  | IT, | LU,   | MC,   | NL,   | PT, | RO, | SE,  | SI,  | SK, |    |
|              | TR, BF,  | ВJ, С | F, CG | CI,   | CM,  | GA, | GN,   | GQ,   | GW,   | ML, | MR, | ΝE,  | SN,  | TD, | TG |
| AU 2003      | 293423   |       | A1    | 2004  | 0630 |     | AU 2  | 003-  | 2934  | 23  |     | 20   | 0031 | 208 |    |
| US 2004      | 167216   |       | A1    | 2004  | 0826 | 1   | US 2  | 003-  | 7289  | 42  |     | 20   | 0031 | 802 |    |
| PRIORITY APP | LN. INFO | .:    |       |       |      | 1   | US 2  | 002-  | 4313  | 04P | 1   | P 20 | 0021 | 206 |    |

WO 2003-US38742 W 20031208

OTHER SOURCE(S):

MARPAT 141:71827

GI

The invention relates to prodrugs of carbidopa and compns. containing them, including methods for their synthesis and application. Prodrugs of formula I [X is OR10, OCR16R1702CR11 or Q-(CR20R21)1-6CO2R10, where Q is O or NR15, R10, R11, R15, R16, R17, R20, R21 are H, (un)substituted alkyl or aryl, etc.; R1 is H or CO2CR16R1702CR11; R4, R5 are H, (un)substituted alkyl or aryl, etc.] are claimed. Thus, 3-[3,4-bis(ethoxycarbonyloxy)phenyl]-2-hydrazino-2-methylpropionic acid acetoxymethyl ester was prepared from carbidopa and shown, when coadministered with L-dopa, to improve relative bioavailability of L-dopa.

L12 ANSWER 10 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2004:412770 CAPLUS

DOCUMENT NUMBER: 140:391442

TITLE: Preparation of gemcitabine nucleoside prodrugs as

antitumor and antiviral agents

INVENTOR(S): Gallop, Mark A.; Peng, Ge; Woiwode, Thomas

F.; Cundy, Kenneth C.

PATENT ASSIGNEE(S): Xenoport, Inc., USA SOURCE: PCT Int. Appl., 146 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.     | KIND DATE       | APPLICATION NO.                         | DATE        |
|----------------|-----------------|---|-------------|
|                |                 | *************************************** | 20031104    |
| WO 2004041203  | A2 20040521     | WO 2003-US35102                         | 20031104    |
| WO 2004041203  | A3 20050421     |   |             |
| W: AE, AG, AL, | AM, AT, AU, AZ, | BA, BB, BG, BR, BW, BY,                 | BZ, CA, CH, |
|                |                 | DM, DZ, EC, EE, EG, ES,                 |             |
| GE, GH, GM,    | HR, HU, ID, IL, | IN, IS, JP, KE, KG, KP,                 | KR, KZ, LC, |
|                |                 | MD, MG, MK, MN, MW, MX,                 |             |
|                |                 | RU, SC, SD, SE, SG, SK,                 |             |
| TM, TN, TR,    | TT, TZ, UA, UG, | UZ, VC, VN, YU, ZA, ZM,                 | ZW          |
|                |                 | SD, SL, SZ, TZ, UG, ZM,                 |             |
| BY, KG, KZ,    | MD, RU, TJ, TM, | AT, BE, BG, CH, CY, CZ,                 | DE, DK, EE, |

```
ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK,
            TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
    AU 2003291726
                         A1
                               20040607
                                          AU 2003-291726
                                                                  20031104
    US 2004142857
                         A1
                               20040722
                                           US 2003-701965
                                                                  20031104
                                           EP 2003-768619
                               20050831
    EP 1567169
                         A2
                                                                  20031104
           AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, SK
                                                             P 20021104
PRIORITY APPLN. INFO.:
                                           US 2002-423966P
                                           US 2002-426247P
                                                              P 20021113
                                                              W 20031104
                                           WO 2003-US35102
```

GΙ

- \* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY AVAILABLE VIA OFFLINE PRINT \*
- AB The present invention provides gemcitabine prodrugs I, wherein R1 and R2 are independently is H, acyl, acyloxyalkylcarbonyl, oxycarbonyl; R3 is imine, amine, amino acid, methods of making gemcitabine prodrugs, pharmaceutical compns. of gemcitabine prodrugs and methods of using gemcitabine prodrugs and pharmaceutical compns. thereof to treat or prevent diseases or disorders such as cancer or viral infections. Thus nucleoside II was prepared and tested in vitro as antitumor and antiviral agent.

L12 ANSWER 11 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2004:331762 CAPLUS

DOCUMENT NUMBER: 140:339635

TITLE: Preparation of GABA analogs as prodrugs

INVENTOR (S): Gallop, Mark A.; Cundy, Kenneth C.

; Zhou, Cindy X.; Qiu, Fayang G.; Yao, Fenmei; Xiang,

Jia-Ning; Ollmann, Ian R.

PATENT ASSIGNEE(S): Xenoport, Inc., USA

SOURCE: U.S. Pat. Appl. Publ., 52 pp., Cont.-in-part of U.S.

Ser. No. 171,485.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

| PATENT  | NO.  |     |     | KIN | <b>)</b> | DATE |      |     | APPL: | ICAT: | ION 1 | . 01 |     | D?  | ATE  |     |
|---------|------|-----|-----|-----|----------|------|------|-----|-------|-------|-------|------|-----|-----|------|-----|
|         |      |     |     |     | -        |      |      |     |       |       |       | ·    |     |     |      |     |
| US 2004 |      |     |     | A1  |          | 2004 |      |     | US 2  |       |       |      |     | 20  | 0021 | 206 |
| US 2003 | 1763 | 98  |     | A1  |          | 2003 | 0918 | 1   | US 2  | 002-: | 1714  | 85   |     | 20  | 0020 | 511 |
| US 6818 | 787  |     |     | B2  |          | 2004 | 1116 |     |       |       |       |      |     |     |      |     |
| ZA 2003 | 0096 | 79  |     | Α   |          | 2004 | 1222 |     | ZA 2  | 003-  | 9679  |      |     | 20  | 0020 | 511 |
| US 2004 | 0061 | 32  |     | A1  |          | 2004 | 0108 | 1   | US 2  | 003-4 | 45924 | 42   |     | 20  | 0030 | 510 |
| US 6972 | 341  |     |     | B2  |          | 2005 | 1206 |     |       |       |       |      |     |     |      |     |
| WO 2003 | 1041 | 84  |     | A1  |          | 2003 | 1218 | 1   | WO 2  | 003-1 | JS184 | 195  |     | 20  | 0030 | 511 |
| W:      | AE,  | AG, | AL, | AM, | AT,      | AU,  | ΑZ,  | BA, | BB,   | BG,   | BR,   | BY,  | ΒZ, | CA, | CH,  | CN, |
|         | CO,  | CR, | CU, | CZ, | DE,      | DK,  | DM,  | DZ, | EC,   | EE,   | ES,   | FI,  | GB, | GD, | GE,  | GH, |
|         | GM,  | HR, | HU, | ID, | IL,      | IN,  | IS,  | JP, | KΕ,   | KG,   | KΡ,   | KR,  | ΚZ, | LC, | LK,  | LR, |
|         | LS,  | LT, | LU, | LV, | MA,      | MD,  | MG,  | MK, | MN,   | MW,   | MX,   | MZ,  | NI, | NO, | NZ,  | OM, |
|         | PH,  | PL, | PT, | RO, | RU,      | SC,  | SD,  | SE, | SG,   | SK,   | SL,   | ТJ,  | TM, | TN, | TR,  | TT, |
|         | TZ,  | UA, | UG, | UΖ, | VC,      | VN,  | YU,  | ZA, | ZM,   | ZW    |       |      |     |     |      |     |
| RW:     | GH,  | GM, | ΚE, | LS, | MW,      | MZ,  | SD,  | SL, | SZ,   | TZ,   | UG,   | ZM,  | ZW, | AM, | ΑZ,  | BY, |
|         | KG,  | KZ, | MD, | RU, | TJ,      | TM,  | AT,  | BE, | BG,   | CH,   | CY,   | CZ,  | DE, | DK, | EE,  | ES, |

FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR,

```
BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
     AU 2003247522
                              Α1
                                     20031222
                                                  AU 2003-247522
                                                                              20030611
                                     20050720
                                                   EP 2003-757492
     EP 1554237
                              A1
                                                                              20030611
              AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
               IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, SK
     JP 2005529941
                              T2
                                     20051006
                                                   JP 2004-511254
                                                                              20030611
                                                   WO 2003-US38703
     WO 2004052844
                              A1
                                     20040624
                                                                              20031205
              AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH,
              CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO,
          RW: BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SN, TD, TD, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD,
     AU 2003297676
                              A1
                                     20040630
                                                  AU 2003-297676
                                                                              20031205
     EP 1569895
                                     20050907
                                                   EP 2003-812817
                              A1
                                                                              20031205
              AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, SK
     JP 2006509031
                              Т2
                                     20060316
                                                   JP 2004-559321
                                                                              20031205
     ZA 2003009678
                                     20050812
                                                   ZA 2003-9678
                              Α
                                                                              20031212
     US 2004198820
                              A1
                                     20041007
                                                   US 2004-829896
                                                                              20040421
PRIORITY APPLN. INFO.:
                                                   US 2001-297521P
                                                                          Р
                                                                              20010611
                                                   US 2001-298514P
                                                                          Р
                                                                              20010614
                                                   US 2002-366090P
                                                                          Р
                                                                              20020319
                                                   US 2002-171485
                                                                          A2 20020611
                                                   US 2002-170127
                                                                          A1 20020611
                                                   US 2002-313825
                                                                          Α
                                                                              20021206
                                                   WO 2003-US18495
                                                                          W
                                                                              20030611
                                                   WO 2003-US38703
                                                                          W
                                                                              20031205
OTHER SOURCE(S):
                             MARPAT 140:339635
     The invention provides prodrugs of GABA analogs and pharmaceutical compns.
     containing these prodrugs for treating or preventing common diseases and/or
     disorders. Compds. of formulas R1(X-CHR2CO)nNHCHR3CR4R5CHR6CO-Y-R7 [n = 0]
     or 1; X = O or an imino group; Y = O or S; R1 = (thio)acyl or phosphoryl
     groups, alkylthio, arylthio, etc.; R2-R7 = H, (cyclo)alkyl, aryl, etc.;
     CR4R5 = (un)substituted cyclo(hetero)alkyl, bridged cycloalkyl],
     R20R21C: (NCHR2CO) t (X-CHR2CO) uNHCHR3CR4R5CHR6CO-Y-R7 [t, u = 0 or 1; R20,
     R21 = groups similar to R4 and R5] , and R1(X-CHR2CO)nNRCHR3CR4R5CHR6CO-R
      [R2 = CR22R23O (to form a lactone), where R22, R23 are groups similar to
     R4 and R5] are claimed. Thus, 1-[[[[(pivaloyloxy)methoxy]carbonyl]amino]m
     ethyl]-1-cyclohexaneacetic acid (51) was prepared by acylation of gabapentin
     with p-nitrophenyl pivaloyloxymethyl carbonate (preparation given). In vitro
     Caco-2 cellular permeabilities of the prodrugs were determined, with compound
51
     having Papp (apical to basolateral) and Papp (basolateral to apical)
     values of 1.06x10-4 and 1.25x10-5 cm/s, resp.
L12 ANSWER 12 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN
ACCESSION NUMBER:
                             2003:912865 CAPLUS
DOCUMENT NUMBER:
                             139:375037
TITLE:
                             Amino acid conjugates providing for sustained systemic
                             concentrations of GABA analogs
                             Scheuerman, Randall A.; Gallop, Mark A.;
INVENTOR(S):
                             Cundy, Kenneth C.; Barrett, Ronald W.
PATENT ASSIGNEE(S):
                             USA
```

```
SOURCE:
                        U.S. Pat. Appl. Publ., 39 pp.
                        CODEN: USXXCO
DOCUMENT TYPE:
                        Patent
LANGUAGE:
                        English
FAMILY ACC. NUM. COUNT:
PATENT INFORMATION:
    PATENT NO.
                    KIND DATE
                                        APPLICATION NO.
                                                                DATE
     -----
                       ----
                              -----
                                          -----
                                                                -----
    US 2003216466
                              20031120 US 2003-436100
                       A1
                                                                20030513
                              20031204
                                        WO 2003-US13404
    WO 2003099338
                       A2
                                                                20030513
    WO 2003099338
                              20050210
                        A3
        W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN,
            CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
            GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,
            LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM,
            PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT,
            TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW
        RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY,
            KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES,
            FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR,
            BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
                        A1 20031212 AU 2003-243180
    AU 2003243180
                                                               20030513
PRIORITY APPLN. INFO.:
                                          US 2002-381604P
                                                             P 20020517
                                                            W 20030513
                                          WO 2003-US13404
                        MARPAT 139:375037
OTHER SOURCE(S):
    The invention discloses compds. that provide for sustained systemic
    concns. of GABA analogs following administration to animals. The
    invention also provides pharmaceutical compns. including such compds. and
    methods using such compds. for the treatment of diseases (epilepsy,
    depression, anxiety, neuropathic pain, etc.). Compds. of the invention
    include e.g. N-β-(gabapentinyl)-L-diaminopropionylgabapentin (preparation
    included).
L12 ANSWER 13 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN
ACCESSION NUMBER:
                       2003:633401 CAPLUS
DOCUMENT NUMBER:
                        139:169338
TITLE:
                        Engineering absorption of therapeutic compounds via
                        colonic transporters
                        Zerangue, Noa; Cundy, Kenneth C.;
INVENTOR(S):
```

Gallop, Mark A.

PATENT ASSIGNEE(S): Xenoport, Inc., USA SOURCE: PCT Int. Appl., 66 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

| PA' | TENT  | NO.  |     |     | KIN | <b>D</b> : | DATE |      | i   | APPL | ICAT      | ION 1 | NO. |     | D   | ATE   |     |
|-----|---|------|-----|-----|-----|------------|------|------|-----|------|-----------|-------|-----|-----|-----|-------|-----|
|     |   |      |     |     |     | _          |      |      | _   |      | - <b></b> |       |     |     | _   |       |     |
| WO  | 2003  | 0659 | 82  |     | A2  |            | 2003 | 0814 |     | WO 2 | 003-1     | US22  | 06  |     | 20  | 0030: | 124 |
| WO  | O 2003065982 A3 2009<br>W: AE, AG, AL, AM, AT, AU |      |     |     |     |            |      |      |     |      |           |       |     |     |     |       |     |
|     | W:  | ΑE,  | AG, | ΑL, | AM, | AT,        | AU,  | ΑZ,  | BA, | BB,  | BG,       | BR,   | BY, | ΒZ, | CA, | CH,   | CN, |
|     |   | CO,  | CR, | CU, | CZ, | DE,        | DK,  | DM,  | DZ, | EC,  | EE,       | ES,   | FI, | GB, | GD, | GE,   | GH, |
|     |   | GM,  | HR, | HU, | ID, | IL,        | IN,  | IS,  | JP, | KE,  | KG,       | ΚP,   | KR, | ΚZ, | LC, | LK,   | LR, |
|     |   | LS,  | LT, | LU, | LV, | MA,        | MD,  | MG,  | MK, | MN,  | MW,       | MX,   | MZ, | NO, | NZ, | OM,   | PH, |
|     |   | PL,  | PT, | RO, | RU, | SC,        | SD,  | SE,  | SG, | SK,  | SL,       | TJ,   | TM, | TN, | TR, | TT,   | TZ, |
|     |   | UA,  | UG, | US, | UΖ, | VC,        | VN,  | ΥU,  | ZA, | ZM,  | ZW        |       |     |     |     |       |     |

```
RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY,
            KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES,
            FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, SE, SI, SK, TR, BF,
            BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
    US 2003158089
                        A1
                               20030821 US 2003-350893
                                                                 20030123
    US 2003158254
                         Al
                               20030821
                                          US 2003-351291
                                                                 20030123
    CA 2473802
                         AA
                               20030814
                                         CA 2003-2473802
                                                                 20030124
    EP 1575494
                                         EP 2003-737554
                               20050921
                        A2
                                                                 20030124
        R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, SK
    JP 2005529847
                         T2
                               20051006
                                          JP 2003-565408
                                                                 20030124
PRIORITY APPLN. INFO.:
                                           US 2002-351808P
                                                             P 20020124
                                           US 2003-351291
                                                             A 20030123
                                                             W 20030124
                                           WO 2003-US2206
```

Methods of modifying therapeutic compds. such as drugs to be substrates AB for active transporters expressed in epithelial cells lining the lumen of the human colon are disclosed. The transporters expressed in the human colon include the sodium dependent multivitamin transporter (SMVT), and monocarboxylate transporters 1 and 4 (MCT 1 and MCT 4). The modified compds. can themselves be pharmacol. active, or upon cleavage of a chemical moiety after uptake from the colon, can be metabolized to form a compound that is pharmacol. active (e.g., a prodrug). The modified compds. disclosed herein are suitable for use in extended release oral dosage forms, particularly those that release drug over periods of greater than about 2-4 h following administration. For example, gabapentin was not taken up by colon whereas its prodrug, gabapentin pivaloxymethyl carbamate (preparation given), was taken up and converted to gabapentin. The conjugate moiety present in gabapentin pivaloxymethyl carbamate, and not present in the parent gabapentin mol., rendered the prodrug a substrate for a transporter expressed in the colon.

```
L12 ANSWER 14 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN
```

ACCESSION NUMBER: 2002:964180 CAPLUS

DOCUMENT NUMBER: 138:29152

TITLE: Orally administered dosage forms of GABA analog

prodrugs having reduced toxicity Cundy, Kenneth C.; Gallop, Mark A.

INVENTOR(S): Cundy, Kenneth C.; Galle
PATENT ASSIGNEE(S): Xenoport, Inc., USA
SOURCE: PCT Int. Appl., 69 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 4

PATENT INFORMATION:

| PATENT NO.    | KIND DATE          | APPLICATION NO.        | DATE          |
|---------------|--------------------|------------------------|---------------|
|               |                    |                        |               |
| WO 2002100392 | A1 20021219        | WO 2002-US18701        | 20020611      |
| W: AE, AG, A  | L, AM, AT, AU, AZ, | BA, BB, BG, BR, BY, BZ | , CA, CH, CN, |
| CO, CR, C     | U, CZ, DE, DK, DM, | DZ, EC, EE, ES, FI, GB | , GD, GE, GH, |
| GM, HR, H     | U, ID, IL, IN, IS, | JP, KE, KG, KP, KR, KZ | , LC, LK, LR, |
| LS, LT, L     | U, LV, MA, MD, MG, | MK, MN, MW, MX, MZ, NO | , NZ, OM, PH, |
| PL, PT, R     | O, RU, SD, SE, SG, | SI, SK, SL, TJ, TM, TN | , TR, TT, TZ, |
| UA, UG, U     | Z, VN, YU, ZA, ZM, | ZW                     |               |
| RW: GH, GM, K | E, LS, MW, MZ, SD, | SL, SZ, TZ, UG, ZM, ZW | , AT, BE, CH, |
| CY, DE, D     | K, ES, FI, FR, GB, | GR, IE, IT, LU, MC, NL | , PT, SE, TR, |
| BF, BJ, C     | F, CG, CI, CM, GA, | GN, GQ, GW, ML, MR, NE | , SN, TD, TG  |
| CA 2449673    | AA 20021219        | CA 2002-2449673        | 20020611      |
| US 2003083382 | A1 20030501        | US 2002-170127         | 20020611      |

```
US 6833140
                         B2
                               20041221
                                          EP 2002-737485
    EP 1404310
                         A1
                               20040407
                                                                 20020611
        R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, SI, LT, LV, FI, RO, MK, CY, AL, TR
    CN 1533270
                        Α
                               20040929
                                          CN 2002-814583
                                                                 20020611
    JP 2004534057
                         T2
                               20041111
                                          JP 2003-503214
                                                                 20020611
    ZA 2003009679
                        Α
                               20041222
                                          ZA 2003-9679
                                                                 20020611
    CN 1753673
                        Α
                               20060329
                                          CN 2002-814572
                                                                 20020611
    ZA 2003009678
                        Α
                               20050812
                                          ZA 2003-9678
                                                                 20031212
                       A1
    US 2004198820
                               20041007
                                          US 2004-829896
                                                                 20040421
PRIORITY APPLN. INFO.:
                                          US 2001-297521P
                                                              P 20010611
                                          US 2001-298514P
                                                             P 20010614
                                          US 2002-366090P
                                                             P 20020319
                                          US 2002-170127
                                                              A1 20020611
                                          WO 2002-US18701
                                                              W 20020611
```

AB The present invention provides an extended release oral dosage form of prodrugs of gabapentin and other GABA analogs, which dosage forms exhibit reduced toxicity. The dosage forms are particularly useful in administering those prodrugs of gabapentin and other GABA analogs that are metabolized to form an aldehyde. The dosage forms of the invention are useful for treating or preventing diseases and/or disorders for which the parent gabapentin or other GABA analog are known to be therapeutically effective. Suitable dosage ranges for oral administration are dependent on the potency of the particular GABA analog drug (once cleaved from the promoiety), but are generally 0.001-200 mg drug/kg body weight When the GABA analog is gabapentin, typical daily doses of the drug in adult patients are 900-3600 mg/day.

REFERENCE COUNT: 2 THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L12 ANSWER 15 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2002:964141 CAPLUS

DOCUMENT NUMBER: 138:24958

TITLE: Preparation of GABA analogs as prodrugs

INVENTOR(S): Gallop, Mark A.; Cundy, Kenneth C.

; Zhou, Cindy X.; Yao, Fenmei; Xiang, Jia-Ning;

Ollman, Ian R.; Qui, Fayang G.

PATENT ASSIGNEE(S): Xenoport, Inc., USA

SOURCE: PCT Int. Appl., 148 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 4

PATENT INFORMATION:

| PATENT  | PATENT NO. |     |     |     |     | DATE |      |     | APPL | ICAT: | ION  | NO. |     | D/  | ATE   |     |
|---------|------------|-----|-----|-----|-----|------|------|-----|------|-------|------|-----|-----|-----|-------|-----|
|         |            |     |     |     | -   |      |      |     |      |       |      |     |     |     | :     |     |
| WO 2002 | 1003       | 47  |     | A2  |     | 2002 | 1219 | 1   | WO 2 | 002-1 | JS18 | 689 |     | 20  | 00206 | 511 |
| WO 2002 | 1003       | 47  |     | A3  |     | 2003 | 1016 |     |      |       |      |     |     |     |       |     |
| W:      | ΑE,        | AG, | AL, | AM, | ΑT, | AU,  | AZ,  | BA, | BB,  | BG,   | BR,  | BY, | BZ, | CA, | CH,   | CN, |
|         | CO,        | CR, | CU, | CZ, | DE, | DK,  | DM,  | DZ, | EC,  | EE,   | ES,  | FI, | GB, | GD, | GE,   | GH, |
|         | GM,        | HR, | ΗU, | ID, | IL, | IN,  | IS,  | JP, | KΕ,  | KG,   | ΚP,  | KR, | ΚZ, | LC, | LK,   | LR, |
|         | LS,        | LT, | LU, | LV, | MA, | MD,  | MG,  | MK, | MN,  | MW,   | MX,  | MZ, | NO, | NZ, | OM,   | PH, |
|         | PL,        | PT, | RO, | RU, | SD, | SE,  | SG,  | SI, | SK,  | SL,   | TJ,  | TM, | TN, | TR, | TT,   | TZ, |
|         | UA,        | UG, | UZ, | VN, | ΥU, | ZA,  | ZM,  | ZW  |      |       |      |     |     |     |       |     |
| RW:     | GH,        | GM, | KE, | LS, | MW, | MZ,  | SD,  | SL, | SZ,  | TZ,   | UG,  | ZM, | ZW, | AM, | ΑZ,   | BY, |
|         | KG,        | KZ, | MD, | RU, | TJ, | TM,  | ΑT,  | BE, | CH,  | CY,   | DE,  | DK, | ES, | FI, | FR,   | GB, |
|         | GR,        | ΙE, | IT, | LU, | MC, | NL,  | PT,  | SE, | TR,  | BF,   | ВJ,  | CF, | CG, | CI, | CM,   | GΑ, |
|         | GN,        | GQ, | GW, | ML, | MR, | NE,  | SN,  | TD, | TG   |       |      |     |     |     |       |     |

```
CA 2449729
                         AA
                               20021219
                                          CA 2002-2449729
                                                                20020611
    US 2003083382
                         A1
                               20030501
                                          US 2002-170127
                                                                20020611
    US 6833140
                         B2
                               20041221
    EP 1404324
                         A2
                              20040407
                                          EP 2002-744314
                                                                20020611
           AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, SI, LT, LV, FI, RO, MK, CY, AL, TR
                         Α
                                                                 20020611
                               20040929
                                          CN 2002-814583
    JP 2004536873
                         T2
                               20041209 JP 2003-516067
                                                                20020611
    ZA 2003009679
                         Α
                               20041222 ZA 2003-9679
                                                                20020611
    NZ 530109
                        Α
                              20050624 NZ 2002-530109
                                                                20020611
    CN 1753673
                        Α
                              20060329
                                          CN 2002-814572
                                                                20020611
    ZA 2003009678
                        Α
                              20050812
                                          ZA 2003-9678
                                                                20031212
    US 2004198820
                        A1
                              20041007
                                          US 2004-829896
                                                                20040421
PRIORITY APPLN. INFO.:
                                          US 2001-297521P
                                                             P 20010611
                                          US 2001-298514P
                                                            P 20010614
                                          US 2002-366090P
                                                             P 20020319
                                          US 2002-170127
                                                             A1 20020611
                                          WO 2002-US18689
                                                            W 20020611
                        MARPAT 138:24958
```

OTHER SOURCE(S):

The invention provides prodrugs of GABA analogs and pharmaceutical compns. containing these prodrugs for treating or preventing common diseases and/or disorders. Compds. of formulas R1(X-CHR2CO)nNHCHR3CR4R5CHR6CO-Y-R7 [n = 0 or 1; X = O or an imino group; Y = O or S; R1 = (thio)acyl or phosphoryl groups, alkylthio, arylthio, etc.; R2-R7 = H, (cyclo)alkyl, aryl, etc.; CR4R5 = (un)substituted cyclo(hetero)alkyl, bridged cycloalkyl], R20R21C: (NCHR2CO)t(X-CHR2CO)uNHCHR3CR4R5CHR6CO-Y-R7 [t, u = 0 or 1; R20, t]R21 = groups similar to R4 and R5] , and R1(X-CHR2CO)nNRCHR3CR4R5CHR6CO-R [R2 = CR22R23O (to form a lactone), where R22, R23 are groups similar to R4 and R5] are claimed. Thus, 1-[[[[(pivaloyloxy)methoxy]carbonyl]amino]m ethyl]-1-cyclohexaneacetic acid (51) was prepared by acylation of gabapentin with p-nitrophenyl pivaloyloxymethyl carbonate (preparation given). In vitro Caco-2 cellular permeabilities of the prodrugs were determined, with compound 51

having Papp (apical to basolateral) and Papp (basolateral to apical) values of 1.06x10-4 and 1.25x10-5 cm/s, resp.

```
L12 ANSWER 16 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN
```

ACCESSION NUMBER:

2002:964138 CAPLUS

DOCUMENT NUMBER:

138:24957

TITLE:

Amino acid conjugates providing for sustained systemic

concentrations of GABA analogs

INVENTOR(S): Gallop, Mark A.; Cundy, Kenneth C.

; Scheuerman, Randall A.; Barrett, Ronald W.

PATENT ASSIGNEE(S):

Xenoport, Inc., USA; Zerangue Noa

SOURCE:

PCT Int. Appl., 111 pp. CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

| PATENT   | PATENT NO.    |     |     |           |     | DATE |      |     | APPL | ICAT: | ION I | NO. |     | D   | ATE  |     |
|----------|---------------|-----|-----|-----------|-----|------|------|-----|------|-------|-------|-----|-----|-----|------|-----|
| <b>-</b> |               |     |     |           | -   |      |      |     |      |       |       |     |     | -   |      |     |
| WO 2002  | 10034         | 44  |     | A2        |     | 2002 | 1219 | 1   | WO 2 | 002-1 | JS18  | 493 |     | 2   | 0020 | 511 |
| WO 2002  | NO 2002100344 |     |     | <b>A3</b> |     | 2004 | 0212 |     |      |       |       |     |     |     |      |     |
| W:       | ΑE,           | AG, | АL, | AM,       | AT, | AU,  | AZ,  | BA, | BB,  | BG,   | BR,   | BY, | BZ, | CA, | CH,  | CN, |
|          | CO,           | CR, | CU, | CZ,       | DE, | DK,  | DM,  | DZ, | EC,  | EE,   | ES,   | FI, | GB, | GD, | GE,  | GH, |
|          | GM,           | HR, | HU, | ID,       | IL, | IN,  | IS,  | JP, | KE,  | KG,   | KP,   | KR, | KZ, | LC, | LK,  | LR, |
|          | LS,           | LT, | LU, | LV,       | MA, | MD,  | MG,  | MK, | MN,  | MW,   | MX,   | MZ, | NO, | NZ, | OM,  | PH, |

```
PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ,
            UA, UG, US, UZ, VN, YU, ZA, ZM, ZW
        RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY,
             KG, KZ, MD, RU, TJ, TM, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB,
             GR, IE, IT, LU, MC, NL, PT, SE, TR, BF, BJ, CF, CG, CI, CM, GA,
             GN, GQ, GW, ML, MR, NE, SN, TD, TG
                         A2
                                20040428
                                           EP 2002-744288
            AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
             IE, SI, LT, LV, FI, RO, MK, CY, AL, TR
    JP 2005501013
                         T2
                                20050113
                                            JP 2003-503171
                                                                   20020611
    US 2003181390
                          A1
                                20030925
                                            US 2002-167381
                                                                   20020612
    US 2004254344
                          A1
                                20041216
                                            US 2004-480293
                                                                   20040713
                                20050929
                                            US 2005-134728
                                                                   20050520
    US 2005214853
                          A1
                                            US 2001-297732P
                                                                Ρ
                                                                   20010611
PRIORITY APPLN. INFO.:
                                            US 2002-364619P
                                                                P
                                                                   20020318
                                            US 2002-361002P
                                                                P
                                                                   20020301
                                            US 2002-170217
                                                                A1 20020611
                                            WO 2002-US18493
                                                                   20020611
                         MARPAT 138:24957
OTHER SOURCE(S):
    The invention is directed to compds. H-Ij-Jj-D-Kk-OH [D is a moiety
    derived from a GABA analoq; I is -[NR50-(CR51R52)a-(CR53R54)b-CO]-; J is
     [NR55(CR56R57)c-(CR58R59)d-CO]-; K is -[NR60-(CR61R62)e-(CR63R64)f-CO]-;
    where a-f, i-k are 0 or 1, provided that at least one of a and b, c and d,
    e and f, and i-k is 1; R50-R64 = H, alkyl, (hetero)aryl, etc. or may
    combine to form a ring] that provide for sustained systemic concns. of
    GABA analogs following administration to animals. Thus, a series of
    aminoacyl-qabapentin derivs. and L-4-bromophenylalanine-pregabalin were
    prepared and shown to elicit PEPT-specific currents significantly above
    background when tested at 1 mM on oocytes expressing either PEPT1 or
    PEPT2, thus confirming that these compds. serve as substrates for both of
     these transporters.
L12 ANSWER 17 OF 24
                      CAPLUS COPYRIGHT 2006 ACS on STN
ACCESSION NUMBER:
                         2002:429031 CAPLUS
DOCUMENT NUMBER:
                         137:20509
                         Preparation and formulation of bile-acid derived
TITLE:
                         compounds for enhancing oral absorption and systemic
                         bioavailability of drugs
INVENTOR (S):
                         Gallop, Mark A.; Cundy, Kenneth C.
PATENT ASSIGNEE(S):
                         Xenoport, Inc., USA
SOURCE:
                         PCT Int. Appl., 185 pp.
                         CODEN: PIXXD2
DOCUMENT TYPE:
                         Patent
LANGUAGE:
                         English
FAMILY ACC. NUM. COUNT:
PATENT INFORMATION:
    P
```

| PATENT  | NO.  |     |     | KIN | D : | DATE |      | i   | APPL: | ICAT  | ION 1 | NO. |     | D   | ATE  |     |
|---------|------|-----|-----|-----|-----|------|------|-----|-------|-------|-------|-----|-----|-----|------|-----|
| WO 2002 | 0443 | 24  |     | A2  | -   | 2002 | 0606 | 1   | WO 2  | 001-1 | US42  | 612 |     | 2   | 0011 | 005 |
| WO 2002 | 0443 | 24  |     | A3  |     | 2003 | 0821 |     |       |       |       |     |     |     |      |     |
| W :     | ΑE,  | AG, | AL, | AM, | ΑT, | ΑU,  | ΑZ,  | BA, | BB,   | BG,   | BR,   | BY, | ΒZ, | CA, | CH,  | CN, |
|         | CO,  | CR, | CU, | CZ, | DE, | DK,  | DM,  | DZ, | EC,   | EE,   | ES,   | FI, | GB, | GD, | GE,  | GH, |
|         | GM,  | HR, | HU, | ID, | IL, | IN,  | IS,  | JP, | ΚE,   | KG,   | ΚP,   | KR, | ΚZ, | LC, | LK,  | LR, |
|         | LS,  | LT, | LU, | LV, | MA, | MD,  | MG,  | MK, | MN,   | MW,   | MX,   | MZ, | NO, | NZ, | PH,  | PL, |
|         | PT,  | RO, | RU, | SD, | SE, | SG,  | SI,  | SK, | SL,   | TJ,   | TM,   | TR, | TT, | TZ, | UA,  | UG, |
|         | US,  | UZ, | VN, | ΥU, | ZA, | ZW   |      |     |       |       |       |     |     |     |      |     |
| RW:     | GH,  | GM, | KE, | LS, | MW, | MZ,  | SD,  | SL, | SZ,   | TZ,   | UG,   | ZW, | AM, | ΑZ, | BY,  | KG, |
|         | KZ,  | MD, | RU, | TJ, | TM, | ΑT,  | BE,  | CH, | CY,   | DE,   | DK,   | ES, | FI, | FR, | GB,  | GR, |

```
IE, IT, LU, MC, NL, PT, SE, TR, BF, BJ, CF, CG, CI, CM, GA, GN,
             GQ, GW, ML, MR, NE, SN, TD, TG
    AU 2002043204
                          A5
                                 20020611
                                             AU 2002-43204
                                                                      20011005
    US 2002099041
                          A1
                                 20020725
                                             US 2001-972411
                                                                      20011005
    EP 1358200
                          A2
                                 20031105
                                             EP 2001-989083
                                                                      20011005
             AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
             IE, SI, LT, LV, FI, RO, MK, CY, AL, TR
     US 2005054559
                                 20050310
                                             US 2004-887505
                                                                      20040707
                          A1
     US 2005148564
                                 20050707
                                                                      20050209
                          Α1
                                             US 2005-53324
     US 2005272710
                          A1
                                 20051208
                                             US 2005-183911
                                                                      20050719
     US 2005288228
                          A1
                                 20051229
                                             US 2005-218468
                                                                      20050906
PRIORITY APPLN. INFO.:
                                             US 2000-238758P
                                                                     20001006
                                             US 2000-249804P
                                                                  Ρ
                                                                      20001117
                                             US 2001-297472P
                                                                  Ρ
                                                                      20010611
                                             US 2001-297594P
                                                                  Р
                                                                      20010611
                                             US 2001-297641P
                                                                  P
                                                                      20010611
                                             US 2001-297654P
                                                                  Р
                                                                      20010611
                                             US 2001-972283
                                                                  A3 20011005
                                             US 2001-972402
                                                                  A3 20011005
                                             US 2001-972425
                                                                  A3 20011005
                                             WO 2001-US42612
                                                                  W
                                                                      20011005
                                             US 2001-974768
                                                                  A3 20011009
```

OTHER SOURCE(S):

MARPAT 137:20509

AB Bile acid derived prodrugs of the form D-Y-T [D = a drug which is incompletely translocated across the intestinal wall; Y = cleavable linking group; T = a bile acid moiety to permit the prodrug to be translocated across the intestinal wall via the bile acid transport system] were prepared for pharmaceutical use. Thus, bile acid conjugate I was prepared starting from cholic acid, glycine tert-Bu ester, succinic anhydride, BrCH2Cl, and cefmetazole sodium salt. The prepared bile acid derived prodrugs were assayed in vitro for compound transport with IBAT and NTCP expressing cell lines. Disclosed are methods for providing enhanced systemic blood concns. of orally delivered drugs that are incompletely translocated across the intestinal wall of an animal. Also disclosed are methods for the sustained release of drugs, whether poorly or readily

bioavailable via oral delivery to animals. Still further, disclosed are compds. and pharmaceutical compns. that are used in such methods.

L12 ANSWER 18 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2002:408761 CAPLUS

DOCUMENT NUMBER: 136:395937

TITLE: Amino acid conjugates for sustained systemic

concentrations of GABA analogs

INVENTOR(S): Gallop, Mark A.; Cundy, Kenneth C.

; Sheuerman, Randall A.; Barrett, Ronald W.

PATENT ASSIGNEE(S): Xenoport, Inc., USA SOURCE: PCT Int. Appl., 70 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 9

PATENT INFORMATION:

|       | PAT             | CENT 1 | NO.  |      |     | KIN        |     |      |      |     | API | PLICAT | ON   | NO.  |     | Ι    | PATE |     |
|-------|-----------------|--------|------|------|-----|------------|-----|------|------|-----|-----|--------|------|------|-----|------|------|-----|
|       |                 | 2002   |      | 14   |     | A2         |     | 2002 | 0530 |     | WO  | 2001-  | US43 | 120  |     | 2    | 0011 | 119 |
|       | WO              | 2002   |      |      |     | A3         |     | 2003 |      |     |     |        |      |      |     |      |      |     |
|       |                 | W:     | ΑĒ,  | AG,  | AL, | AM,        | AT, | AU,  | ΑZ,  | BA, | BE  | B, BG, | BR,  | BY,  | ΒZ, | CA,  | CH,  | CN, |
|       |                 |        | CO,  | CR,  | CU, | CZ,        | DE, | DK,  | DM,  | DZ, | EC  | C, EE, | ES,  | FI,  | GB, | GD,  | GE,  | GH, |
|       |                 |        | GM,  | HR,  | HU, | ID,        | IL, | IN,  | IS,  | JP, | KE  | E, KG, | ΚP,  | KR,  | KZ, | LC,  | LK,  | LR, |
|       |                 |        | LS,  | LT,  | LU, | LV,        | MA, | MD,  | MG,  | MK, | M   | J, MW, | MX,  | MZ,  | NO, | NZ,  | OM,  | PH, |
|       |                 |        | PL,  | PT,  | RO, | RU,        | SD, | SE,  | SG,  | SI, | SF  | (, SL, | ТJ,  | TM,  | TR, | TT,  | TZ,  | UA, |
|       |                 |        | ŪĠ,  | US,  | UΖ, | VN,        | YU, | ZA,  | zw   |     |     |        |      |      |     |      |      |     |
|       |                 | RW:    | GH,  | GM,  | KE, | LS,        | MW, | MZ,  | SD,  | SL, | SZ  | Z, TZ, | UG,  | ZM,  | ZW, | AM,  | ΑZ,  | BY, |
|       |                 |        | KG,  | ΚZ,  | MD, | RU,        | TJ, | TM,  | AT,  | BE, | CF  | I, CY, | DE,  | DK,  | ES, | FI,  | FR,  | GB, |
|       |                 |        | GR,  | ΙE,  | IT, | LU,        | MC, | NL,  | PT,  | SE, | TF  | R, BF, | ВJ,  | CF,  | CG, | CI,  | CM,  | GΑ, |
|       |                 |        | GN,  | GQ,  | GW, | ML,        | MR, | NE,  | SN,  | TD, | TO  | 3      |      |      |     |      |      |     |
|       | ΑU              | 2002   | 0392 | 57   |     | <b>A</b> 5 |     | 2002 | 0603 |     | ΑU  | 2002-  | 3925 | 7    |     | 2    | 0011 | 119 |
|       | US 2005054559   |        |      |      |     |            |     | 2005 | 0310 |     | US  | 2004-  | 8875 | 05   |     | 2    | 0040 | 707 |
|       | US              | 2005   | 1485 | 64   |     | <b>A1</b>  |     | 2005 | 0707 |     | US  | 2005-  | 5332 | 4    |     | 2    | 0050 | 209 |
|       | US              | 2005   | 2148 | 53   |     | <b>A1</b>  |     | 2005 | 0929 |     | US  | 2005-  | 1347 | 28   |     | 2    | 0050 | 520 |
|       | US              | 2005   | 2727 | 10   |     | <b>A1</b>  |     | 2005 | 1208 |     | US  | 2005-  | 1839 | 11   |     | 2    | 0050 | 719 |
|       | US              | 2005   | 2882 | 28   |     | A1         |     | 2005 | 1229 |     |     | 2005-  |      |      |     |      | 0050 | 906 |
| PRIOR | (TI             | APP    | LN.  | INFO | . : |            |     |      |      |     | US  | 2000-  | 2498 | 04P  |     | P 2  | 0001 | 117 |
|       |                 |        |      |      |     |            |     |      |      |     | US  | 2001-  | 2977 | 32P  |     | P 2  | 0010 | 611 |
|       |                 |        |      |      |     |            |     |      |      |     | US  | 2000-  | 2387 | 58P  |     | P 2  | 0001 | 006 |
|       |                 |        |      |      |     |            |     |      |      |     | US  | 2001-  | 2974 | 72P  |     | P 2  | 0010 | 611 |
|       |                 |        |      |      |     |            |     |      |      |     | US  | 2001-  | 2975 | 94 P |     | P 2  | 0010 | 611 |
|       |                 |        |      |      |     |            |     |      |      |     | US  | 2001-  | 2976 | 41P  |     | P 2  | 0010 | 611 |
|       |                 |        |      |      |     |            |     |      |      |     | US  | 2001-  | 2976 | 54P  |     | P 2  | 0010 | 611 |
|       |                 |        |      |      |     |            |     |      |      |     | US  | 2001-  | 9722 | 83   |     | A3 2 | 0011 | 005 |
|       |                 |        |      |      |     |            |     |      |      |     | US  | 2001-  | 9724 | 02   |     | A3 2 | 0011 | 005 |
|       |                 |        |      |      |     |            |     |      |      |     | US  | 2001-  | 9724 | 25   |     | A3 2 | 0011 | 005 |
|       |                 |        |      |      |     |            |     |      |      |     | US  | 2001-  | 9747 | 68   |     | A3 2 | 0011 | 009 |
|       |                 |        |      |      |     |            |     |      |      |     | WO  | 2001-  | US43 | 120  |     | W 2  | 0011 | 119 |
|       |                 |        |      |      |     |            |     |      |      |     | US  | 2002-  | 3610 | 02P  |     | P 2  | 0020 | 301 |
|       |                 |        |      |      |     |            |     |      |      |     | US  | 2002-  | 1702 | 17   |     | A1 2 | 0020 | 611 |
| OTHER | TUED COUDGE (C) |        |      |      |     |            | חתם | 120. | 2050 | 2 7 |     |        |      |      |     |      |      |     |

OTHER SOURCE(S): MARPAT 136:395937

AB The invention discloses compds. that provide for sustained systemic concns. of GABA analogs following administration to animals. The invention also discloses pharmaceutical compns. including, and methods using, such compds. Preparation of amino acid-gabapentin conjugates is described, as are in vitro transport assays with PEPT1- and PEPT2-expressing cell lines and stability of gabapentin-containing prodrugs.

L12 ANSWER 19 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2002:314729 CAPLUS

DOCUMENT NUMBER: 136:330526

TITLE: Bile-acid conjugates for providing sustained systemic

concentrations of drugs

INVENTOR(S): Gallop, Mark A.; Cundy, Kenneth C.

; Zhou, Cindy X.

PATENT ASSIGNEE(S): Xenoport, Inc., USA SOURCE: PCT Int. Appl., 149 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 9

PATENT INFORMATION:

GΙ

| PA'     | TENT                         | NO.  |      |     | KIN       |     | DATE  |      |     | APPI | CAT   | ION 1 | . 00    |     | ]  | DATE  |      |
|---------|------------------------------|------|------|-----|-----------|-----|-------|------|-----|------|-------|-------|---------|-----|----|-------|------|
|         | 2002                         |      |      |     | A2        |     | 2002  | 0425 |     | wo 2 | 2001- | 11542 | <br>613 |     |    | 20011 | 005  |
| -       | 2002                         |      |      |     | A3        |     | 2002  |      |     | 2    | .001  | 0012  | 013     |     | •  | 50011 | .005 |
| WO      | 2002<br>W:                   |      |      | ΔТ. |           |     | AU,   |      | RΔ  | BB   | BG.   | BR.   | BY.     | B7. | CA | . Сн. | CN.  |
|         | η.                           |      |      |     |           |     | DK,   |      |     |      |       |       |         |     |    |       |      |
|         |                              |      |      |     |           |     | IN,   |      |     |      |       |       |         |     |    |       |      |
|         |                              |      |      |     |           |     | MD,   |      |     |      |       |       |         |     |    |       |      |
|         |                              | PT.  | RO.  | RU. | SD.       | SE. | SG,   | SI.  | SK. | SL   | TJ.   | TM.   | TR.     | TT. | TZ | . UA. | UG,  |
|         |                              |      | UZ,  |     |           |     |       | ,    | ,   |      | •     | •     | ,       | •   |    |       | •    |
|         | RW:                          |      |      |     |           |     | MZ,   | SD,  | SL, | SZ   | TZ,   | UG,   | ZW,     | AM, | ΑZ | , BY, | KG,  |
|         | 2000                         | KZ.  | MD.  | RU. | TJ.       | TM  | AT,   | BE,  | CH, | CY   | DE,   | DK,   | ES,     | FI, | FR | , GB, | GR,  |
|         |                              |      |      |     |           |     | PT,   |      |     |      |       |       |         |     |    |       |      |
|         |                              |      |      |     |           |     | SN,   |      |     |      | •     | •     | •       | •   |    |       | •    |
| AU      | 2002                         |      |      |     | A5        |     |       |      |     | AU 2 | 2002- | 3039  | 8       |     |    | 20011 | .005 |
|         | 2002                         |      |      |     |           |     |       |      |     |      |       |       |         |     |    | 20011 | .005 |
|         | 6900                         |      |      |     | B2        |     | 2005  |      |     |      |       |       |         |     |    |       |      |
| EP      | 1361                         | 847  |      |     |           |     | 2003  | 1119 |     | EP 2 | 2001- | 9876  | 53      |     | :  | 20011 | .005 |
|         | R:                           | AT,  | BE,  | CH, | DE,       | DK  | , ES, | FR,  | GB, | GR,  | , IT, | LI,   | LU,     | NL, | SE | , MC, | PT,  |
|         |                              | IE,  | SI,  | LT, | LV,       | FI  | , RO, | MK,  | CY, | AL,  | , TR  |       |         |     |    |       |      |
| US      | 2002                         | 1429 | 98   |     | <b>A1</b> |     | 2002  | 1003 |     | US 2 | 2001- | 9747  | 68      |     |    | 20011 | .009 |
| US      | 6984                         | 634  |      |     | B2        |     | 2006  | 0110 |     |      |       |       |         |     |    |       |      |
| US      | 2005                         | 0545 | 59   |     | <b>A1</b> |     | 2005  | 0310 |     | US 2 | 2004- | 8875  | 05      |     |    | 20040 | 707  |
| US      | 2005                         | 1485 | 64   |     | A1        |     | 2005  | 0707 |     | US 2 | 2005- | 5332  | 4       |     |    | 20050 | 209  |
| US      | 2005                         | 2727 | 10   |     | A1        |     | 2005  | 1208 |     | US 2 | 2005- | 1839  | 11      |     |    | 20050 | 719  |
| US      | 2005<br>2005<br>2005<br>2005 | 2882 | 28   |     | A1        |     | 2005  | 1229 |     |      | 2005- |       |         |     |    | 20050 |      |
| PRIORIT | Y APP                        | LN.  | INFO | .:  |           |     |       |      |     |      | 2000- |       |         |     | P  | 20001 | .006 |
|         |                              |      |      |     |           |     |       |      |     |      | 2000- |       |         |     |    | 20001 |      |
|         |                              |      |      |     |           |     |       |      |     |      | 2001- |       |         |     |    | 20010 |      |
|         |                              |      |      |     |           |     |       |      |     | -    | 2001- |       |         |     |    | 20010 |      |
|         |                              |      |      |     |           |     |       |      |     |      | 2001- |       |         |     |    | 20010 |      |
|         |                              |      |      |     |           |     |       |      |     |      | 2001- |       |         |     |    | 20010 |      |
|         |                              |      |      |     |           |     |       |      |     |      | 2001- |       |         |     |    |       |      |
|         |                              |      |      |     |           |     |       |      |     |      | 2001- |       |         |     |    |       |      |
|         |                              |      |      |     |           |     |       |      |     |      | 2001- |       |         |     |    |       |      |
|         |                              |      |      |     |           |     |       |      |     | WO : | 2001- | US42  | 613     |     |    | 20011 |      |
|         |                              |      |      |     |           |     |       |      |     | US : | 2001- | 9747  | 68      |     | A3 | 20011 | .009 |
| OTHER S | OURCE                        | (S): |      |     | MAR       | PAT | 136:  | 3305 | 26  |      |       |       |         |     |    |       |      |

AB This invention is directed to compds. that provide for sustained systemic concns. of therapeutic or prophylactic agents following administration to animals. This invention is also directed to pharmaceutical compns. including and methods using such compds. Among example compds. prepared was I. Examples were given for in vitro transport for the compds. of IBAT (Na-dependent transporter)-expressing cells.

L12 ANSWER 20 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER:

2002:276010 CAPLUS

DOCUMENT NUMBER:

136:294977

TITLE:

Preparation of bile acid conjugates for providing

Ι

sustained systemic concentrations of drugs

INVENTOR (S):

Gallop, Mark A.; Cundy, Kenneth C.

PATENT ASSIGNEE(S):

Xenoport, Inc., USA

SOURCE:

PCT Int. Appl., 142 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

|    | CENT 1  |      |     |     |     |     |      |      |     | APPL: | ICAT | ION 1 | . OV |     | Di  | ATE  |     |
|----|---|------|-----|-----|-----|-----|------|------|-----|-------|------|-------|------|-----|-----|------|-----|
|    | 2002  |      |     |     |     |     |      |      |     | WO 2  | 001- | US42  | 628  |     | 2   | 0011 | 009 |
|    | W:  | AE,  | AG, | AL, | AM, | AT, | AU,  | ΑZ,  | BA, | BB,   | BG,  | BR,   | BY,  | BZ, | CA, | CH,  | CN, |
|    |   |      |     |     |     |     |      | DM,  |     |       |      |       |      |     |     |      |     |
|    |   | -    | -   |     |     |     |      | IS,  |     |       |      |       |      |     |     |      |     |
|    |   |      |     |     |     |     |      | MG,  |     |       |      |       |      |     |     |      |     |
|    |   | -    |     |     |     |     |      | SI,  |     |       |      |       |      |     |     |      |     |
|    |   | us.  | UZ, | VN, | YU, | ZA, | ZW   | •    |     | •     | •    |       |      | •   | -   |      |     |
|    | RW:   | GH,  | GM, | KE, | LS, | MW, | MZ,  | SD,  | SL, | SZ,   | TZ,  | UG,   | ZW,  | AT, | BE, | CH,  | CY, |
|    |   | DE,  | DK, | ES, | FI, | FR, | GB,  | GR,  | IE, | IT,   | LU,  | MC,   | NL,  | PT, | SE, | TR,  | BF, |
|    |   | вJ,  | CF, | CG, | CI, | CM, | GA,  | GN,  | GQ, | GW,   | ML,  | MR,   | NE,  | SN, | TD, | TG   |     |
| US | BJ, CF, CG, CI, CM, GA, GN<br>2002111338 A1 2002081 |      |     |     |     |     |      |      |     |       |      |       |      |     |     |      |     |
|    | 6900  |      |     |     |     |     |      |      |     |       |      |       |      |     |     |      |     |
| ΑU | 2002  | 0134 | 68  |     | A5  |     | 2002 | 0415 |     | AU 2  | 002- | 1346  | 8    |     | 2   | 0011 | 009 |
|    | 2002  |      |     |     |     |     |      | 1003 |     |       |      |       |      |     |     |      |     |
| US | 6984  | 634  |     |     | В2  |     | 2006 | 0110 |     |       |      |       |      |     |     |      |     |
| ΕP | 1347  | 989  |     |     | A1  |     | 2003 | 1001 |     | EP 2  | 001- | 9818  | 51   |     | 2   | 0011 | 009 |
|    | R:  | AT,  | BE, | CH, | DE, | DK, | ES,  | FR,  | GB, | GR,   | IT,  | LI,   | LU,  | NL, | SE, | MC,  | PT, |
|    |   | -    |     |     |     |     |      | MK,  |     |       |      |       |      |     |     |      |     |
| US | 2005  |      |     |     |     |     |      |      |     |       |      | 8875  | 05   |     | 2   | 0040 | 707 |
|    | 2005  |      |     |     |     |     |      |      |     |       |      |       |      |     |     | 0050 |     |
| US | 2005  | 2727 | 10  |     | A1  |     | 2005 | 1208 |     | US 2  | 005- | 1839  | 11   |     | 2   | 0050 | 719 |
|    |   |      |     |     |     |     |      |      |     |       |      |       |      |     |     |      |     |

| US 2005288228 PRIORITY APPLN. INFO.: | A1 | 20051229 | US<br>US<br>US<br>US<br>US<br>US | 2005-218468<br>2000-238758P<br>2000-249804P<br>2001-297472P<br>2001-297594P<br>2001-297641P<br>2001-297654P<br>2001-972283 |    | 20050906<br>20001006<br>20001117<br>20010611<br>20010611<br>20010611<br>20010611<br>20011005 |
|--------------------------------------|----|----------|----------------------------------|--|----|--|
|                                      |    |          |                                  |  | -  |  |
|                                      |    |          |                                  | 2001-972402  |    | 20011005   |
|                                      |    |          | US                               | 2001-972425  | A3 | 20011005   |
|                                      |    |          | US                               | 2001-974768  | A3 | 20011009   |
|                                      |    |          | WO                               | 2001-US42628   | W  | 20011009   |

OTHER SOURCE(S):

MARPAT 136:294977

GΙ

AB Bile acid conjugates, such as I [R1, R2 = H, OH; R3 = amide linked amino acid or peptide moiety], were prepared for pharmaceutical use as drug delivery moieties which provide for sustained systemic concns. of drugs. Thus, cholyl-Gly-Gabapentin II (R = H) was prepared by amide formation of cholic acid with glycine using ClCO2Et and Et3N in THF and subsequent amide formation of the glycine cholic acid amide with gabapentin using the same reagents. The prepared bile acid conjugates underwent in vitro compound transport assays with IBAT and LBAT expressing cell lines for inhibition of radiolabeled taurocholate uptake and assays with PEPT1 and PEPT2 expressing cells lines for inhibition of radiolabeled Gly-Sar uptake. Also, enzymic releaas of gabapentin for the conjugates by pancreatin and pharmacokinetics of the prodrug cholyl-Phe-Gabapentin II (R = CH2Ph) were examined

REFERENCE COUNT:

THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS

II

```
RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
L12 ANSWER 21 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN
                         2002:276009 CAPLUS
ACCESSION NUMBER:
DOCUMENT NUMBER:
                         136:294976
TITLE:
                         Preparation of bile acid prodrugs of 1-dopa and their
                         use in the sustained treatment of Parkinsonism
INVENTOR(S):
                         Gallop, Mark A.; Cundy, Kenneth C.
                         ; Zhou, Cindy X.
PATENT ASSIGNEE(S):
                         Xenoport, Inc., USA
SOURCE:
                         PCT Int. Appl., 172 pp.
                         CODEN: PIXXD2
DOCUMENT TYPE:
                         Patent
LANGUAGE:
                         English
FAMILY ACC. NUM. COUNT:
PATENT INFORMATION:
     PATENT NO.
                         KIND
                                DATE
                                           APPLICATION NO.
                                                                   DATE
```

|      |      |      |      |      |     |            | _   |      |      |     |               |       |          |      |     | _     |       |     |
|------|------|------|------|------|-----|------------|-----|------|------|-----|---------------|-------|----------|------|-----|-------|-------|-----|
|      | WO   | 2002 | 0288 | 82   |     | A1         |     | 2002 | 0411 |     | WO :          | 2001- | <br>US31 | 394  |     | 2     | 0011  | 005 |
|      |      | W:   | ΑE,  | AG,  | AL, | AM,        | AT, | AU,  | ΑZ,  | BA, | BB            | , BG, | BR,      | BY,  | ΒZ, | CA,   | CH,   | CN, |
|      |      |      | CO,  | CR,  | CU, | CZ,        | DE, | DK,  | DM,  | DZ, | EC            | , EE, | ES,      | FI,  | GB, | GD,   | GE,   | GH, |
|      |      |      | GM,  | HR,  | HU, | ID,        | IL, | IN,  | IS,  | JP, | ΚE            | , KG, | ΚP,      | KR,  | KZ, | LC,   | LK,   | LR, |
|      |      |      | LS,  | LT,  | LU, | LV,        | MA, | MD,  | MG,  | MK, | MN            | , MW, | MX,      | MZ,  | NO, | NZ,   | PH,   | PL, |
|      |      |      | PT,  | RO,  | RU, | SD,        | SE, | SG,  | SI,  | SK, | $\mathtt{SL}$ | , TJ, | TM,      | TR,  | TT, | TZ,   | UA,   | ŪĠ, |
|      |      |      | US,  | UZ,  | VN, | ΥU,        | ZA, | ZW   |      |     |               |       |          |      |     |       |       |     |
|      |      | RW:  | GH,  | GM,  | ΚE, | LS,        | MW, | MZ,  | SD,  | SL, | SZ            | , TZ, | UG,      | ZW,  | ΑT, | BE,   | CH,   | CY, |
|      |      |      | DE,  | DK,  | ES, | FI,        | FR, | GB,  | GR,  | ΙE, | ΙT            | , LU, | MC,      | NL,  | PT, | SE,   | TR,   | BF, |
|      |      |      | ВJ,  | CF,  | CG, | CI,        | CM, | GA,  | GN,  | GQ, | GW            | , ML, | MR,      | ΝE,  | SN, | TD,   | TG    |     |
|      | AU   | 2001 | 0967 | 03   |     | <b>A</b> 5 |     | 2002 | 0415 |     | AU :          | 2001- | 9670     | 3    |     | 2     | 0011  | 005 |
|      | US   | 2002 | 1515 | 26   |     | A1         |     | 2002 | 1017 |     | US :          | 2001- | 9724     | 31   |     | 2     | 0011  | 005 |
|      | US   | 2005 | 0545 | 59   |     | A1         |     | 2005 | 0310 |     |               | 2004- |          |      |     |       | 0040  | 707 |
|      | US   | 2005 | 1485 | 64   |     | A1         |     | 2005 | 0707 |     | US :          | 2005- | 5332     | 4    |     | 2     | 0050  | 209 |
|      | US   | 2005 | 2727 | 10   |     | <b>A1</b>  |     | 2005 | 1208 |     | US :          | 2005- | 1839     | 11   |     | 2     | 0050  | 719 |
|      | US   | 2005 | 2882 | 28   |     | A1         |     | 2005 | 1229 |     | US :          | 2005- | 2184     | 68   |     | 2     | 0050  | 906 |
| PRIO | RITY | APP  | LN.  | INFO | .:  |            |     |      |      |     | US :          | 2000- | 2387     | 58P  |     | P 2   | 0001  | 006 |
|      |      |      |      |      |     |            |     |      |      |     | US :          | 2001- | 2976     | 54P  |     | P 2   | 20010 | 611 |
|      |      |      |      |      |     |            |     |      |      |     | US :          | 2000- | 2498     | 04P  |     | P 2   | 20001 | 117 |
|      |      |      |      |      |     |            |     |      |      |     | US :          | 2001- | 2974     | 72P  |     | P 2   | 20010 | 611 |
|      |      |      |      |      |     |            |     |      |      |     | US :          | 2001- | 2975     | 94 P |     | P 2   | 20010 | 611 |
|      |      |      |      |      |     |            |     |      |      |     | US :          | 2001- | 2976     | 41P  |     | P 2   | 0010  | 611 |
|      |      |      |      |      |     |            |     |      |      |     | US :          | 2001- | 9722     | 83   |     | A3 2  | 0011  | 005 |
|      |      |      |      |      |     |            |     |      |      |     | US :          | 2001- | 9724     | 02   |     | A3 2  | 20011 | 005 |
|      |      |      |      |      |     |            |     |      |      |     | 2001-         |       |          |      |     | 20011 | 005   |     |
|      |      |      |      |      |     |            |     |      |      |     |               | 2001- |          |      |     | _     | 0011  | _   |
|      |      |      |      |      |     |            |     |      |      |     | US :          | 2001- | 9747     | 68   |     | A3 2  | 0011  | 009 |
|      |      |      |      |      |     |            |     |      |      |     |               |       |          |      |     |       |       |     |

OTHER SOURCE(S):

MARPAT 136:294976

GI

Bile-acid conjugates, I [R1, R2 = H, OH; X = OH, YD; Y = bond, cleavable linker; D = L-DOPA or its derivative, catechol O-Me transferase inhibitor, aromatic L-amino acid decarboxylase inhibitor; W = alkyl substituted with CO2H, SO3H, SO2H, P(O) (OR6) (OH), OSO3H; R6 = (un)substituted alkyl, aryl, MY'D', CH2QC(O)Y'D'; M = CH2OC(O), CH2CH2C(O); Y' = bond, cleavable

<sup>\*</sup> STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY - AVAILABLE VIA OFFLINE PRINT \*

linker; D' = D; Q = CH2, O] or their pharmaceutically acceptable salts, are substrates for an intestinal bile acid transporter useful for sustained release of L-DOPA, inhibitors of catechol O-Me transferase and/or inhibitors of aromatic L-amino acid decarboxylase. Thus, L-DOPA prodrug II was prepared in 75% from cholic acid, via mixed anhydride formation with ClCO2Et in THF containing Et3N, amidation with L-DOPA in aqueous NaHCO3 and regioselectively O-alkylation with ICH2O2CCMe3 in acetone containing Na2CO3. Prodrug II was pharmacol. tested [IC50 = 91  $\mu M$  vs. IBAT-expressing cells; IC50 = 0.2  $\mu M$  vs. LBAT-expressing cells; 90% hydrolysis of prodrug in human plasma after 60 mins. and 95% hydrolysis of prodrug in human intestine S9 after 60 mins.]

REFERENCE COUNT: 2 THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L12 ANSWER 22 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2002:276008 CAPLUS

DOCUMENT NUMBER: 136:310071

TITLE: Preparation of bile-acid derived compounds for

sustained release of orally delivered drugs

INVENTOR(S): Gallop, Mark A.; Cundy, Kenneth C.

; Zhou, Cindy X.

PATENT ASSIGNEE(S): Xenoport, Inc., USA SOURCE: PCT Int. Appl., 214 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 9

PATENT INFORMATION:

| PA      | TENT  | NO.  |      |     | KIN | D : | DATE |      | i   | APPL | ICAT   | ION 1 | NO. |     |    | DATE  |      |
|---------|-------|------|------|-----|-----|-----|------|------|-----|------|--------|-------|-----|-----|----|-------|------|
| WO      | 2002  | 0288 | B1   |     | A1  | _   | 2002 | 0411 | ,   | WO 2 | 2001-1 | US42  | 513 |     |    | 20011 | 005  |
|         | W:    |      |      |     |     |     |      |      |     |      |        |       |     |     |    | , CH, |      |
|         |       | •    | •    | •   |     | •   | •    | •    | •   |      | •      | •     | •   | •   |    | , GE, | •    |
|         |       | •    | •    | •   |     |     | •    |      | •   |      |        | •     |     | •   |    | , LK, |      |
|         |       |      |      |     |     |     |      |      |     |      |        | -     | -   | -   |    | , PH, | -    |
|         |       | •    | •    | •   | •   |     | -    | SI,  | SK, | SL,  | TJ,    | TM,   | TR, | TT, | TZ | , UA, | ŪĠ,  |
|         |       | •    | •    | •   | ΥU, | •   |      |      |     |      |        |       |     |     |    |       |      |
|         | RW:   | -    | -    | -   | -   |     | -    | -    | -   |      |        |       |     |     |    | , CH, | -    |
|         |       | •    | •    | •   | •   | •   |      | •    | •   |      | •      | •     | •   | •   |    | , TR, | BF,  |
|         |       | •    | •    |     |     |     | •    | •    |     |      | ML,    | •     | •   | •   |    | •     |      |
|         | 2002  |      |      |     |     |     |      |      |     |      |        |       |     |     |    | 20011 |      |
|         | 2002  |      |      |     |     |     |      |      |     | US 2 | 2001-  | 9724  | 25  |     |    | 20011 | .005 |
|         | 6992  |      |      |     | B2  |     |      | 0131 |     |      |        |       |     |     |    |       |      |
| EP      | 1343  |      |      |     |     |     |      |      |     |      |        |       |     |     |    | 20011 |      |
|         | R:    | •    |      |     |     |     |      | •    |     |      |        | LТ,   | LU, | ΝL, | SE | , MC, | PT,  |
|         |       | •    |      |     | LV, |     |      | •    |     |      |        |       |     |     |    |       |      |
|         | 2005  |      |      |     |     |     |      |      |     |      | 004-   |       |     |     |    | 20040 | -    |
|         | 2005  |      |      |     |     |     |      |      |     |      |        |       |     |     |    |       |      |
|         | 2005  |      |      |     |     |     |      |      |     |      | 005-   |       |     |     |    | 20050 |      |
|         | 2005  |      |      |     | Al  |     | 2005 | 1229 |     |      | 005-   |       |     |     |    | 20050 |      |
| PRIORIT | Y APP | LN.  | INFO | . : |     |     |      |      |     |      | 000-   |       |     |     |    | 20001 |      |
|         |       |      |      |     |     |     |      |      |     |      | 000-   |       |     |     |    | 20001 |      |
|         |       |      |      |     |     |     |      |      |     |      | 001-   |       |     |     |    | 20010 |      |
|         |       |      |      |     |     |     |      |      |     |      | 2001-  |       |     |     |    | 20010 |      |
|         |       |      |      |     |     |     |      |      |     |      | 2001-  |       |     |     |    | 20010 |      |
|         |       |      |      |     |     |     |      |      |     |      | 2001-  |       |     |     |    | 20010 |      |
|         |       |      |      |     |     |     |      |      |     |      |        |       |     |     |    | 20011 |      |
|         |       |      |      |     |     |     |      |      |     | US 2 | 2001-  | 9724  | 02  |     | A3 | 20011 | .005 |

US 2001-972425 A3 20011005 WO 2001-US42513 W 20011005 US 2001-974768 A3 20011009

OTHER SOURCE(S):

MARPAT 136:310071

GI

Bile-acid conjugates such as I [R1, R2 = H, OH; X = OH, DQT; T = O, NH; Q AB = bond, cleavable linker; D = GABA analog; Z = alkyl substituted with CO2H, SO3H, SO2H, P(O) (OR6) (OH), OSO3H; R6 = (un) substituted alkyl, aryl, MQ'D'; M = CH2OC(0), CH2CH2C(0); Q' = bond, cleavable linker; D' = D], ortheir pharmaceutically acceptable salts, were prepared for their use as substrates for an intestinal bile acid transporter, and thus I could be utilized to provides sustained systemic concns. of orally delivered drugs to an animal. Thus, prodrug II was prepared via treatment of the acid with NaOH obtained by the reaction of cholic acid and 1-aminomethyl-1cyclohexaneacetic acid hydrochloride. Prodrug II was pharmacol. tested [IC50 = 36  $\mu$ M vs. IBAT-expressing cells; IC50 = 8  $\mu$ M vs. LBAT-expressing cells].

REFERENCE COUNT:

THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L12 ANSWER 23 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2002:275808 CAPLUS

DOCUMENT NUMBER: 136:295094

TITLE:

Preparation of compounds for sustained release of

II

orally delivered drugs

Gallop, Mark A.; Cundy, Kenneth C. INVENTOR(S):

PATENT ASSIGNEE(S): Xenoport, Inc., USA SOURCE: PCT Int. Appl., 151 pp. CODEN: PIXXD2

DOCUMENT TYPE: LANGUAGE: Patent English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

|      | PATENT NO. |       |      |      |       |            |     | DATE   |      |     |      | ICAT |      |     |     | D    | ATE  |     |
|------|------------|-------|------|------|-------|------------|-----|--------|------|-----|------|------|------|-----|-----|------|------|-----|
|      | WO         | 2002  |      |      |       |            |     | 2002   |      |     |      |      |      |     |     | 2    | 0011 | 005 |
|      |            | W:    | ΑE,  | AG,  | AL,   | AM,        | ΑT, | AU,    | AZ,  | BA, | BB,  | BG,  | BR,  | BY, | BZ, | CA,  | CH,  | CN, |
|      |            |       |      |      |       |            |     | DK,    |      |     |      |      |      |     |     |      |      |     |
|      |            |       | GM,  | HR,  | HU,   | ID,        | IL, | IN,    | IS,  | JP, | KE,  | KG,  | KP,  | KR, | KZ, | LC,  | LK,  | LR, |
|      |            |       | LS,  | LT,  | LU,   | LV,        | MA, | MD,    | MG,  | MK, | MN,  | MW,  | MX,  | MZ, | NO, | NZ,  | PH,  | PL, |
|      |            |       | PT,  | RO,  | RU,   | SD,        | SE, | SG,    | SI,  | SK, | SL,  | TJ,  | TM,  | TR, | TT, | TZ,  | UA,  | ŪĠ, |
|      |            |       | US,  | UZ,  | VN,   | YU,        | ZA, | ZW     |      |     |      |      | -    | -   | -   | -    | •    | _   |
|      |            | RW:   | GH,  | GM,  | ΚE,   | LS,        | MW, | MZ,    | SD,  | SL, | SZ,  | TZ,  | UG,  | ZW, | ΑT, | BE,  | CH,  | CY, |
|      |            |       | DE,  | DK,  | ES,   | FI,        | FR, | GB,    | GR,  | IE, | IT,  | LU,  | MC,  | NL, | PT, | SE,  | TR,  | BF, |
|      |            |       | ВJ,  | CF,  | CG,   | CI,        | CM, | GA,    | ·GN, | GQ, | GW,  | ML,  | MR,  | NE, | SN, | TD,  | TG   |     |
|      | ΑU         | 2002  | 0115 | 38   |       | <b>A</b> 5 |     | 2002   | 0415 |     | AU 2 | 002- | 1153 | 8   |     | 2    | 0011 | 005 |
|      | US         | 2002  | 0989 | 99   |       | A1         |     | 2002   | 0725 | 1   | US 2 | 001- | 9724 | 02  |     | 2    | 0011 | 005 |
|      | EP         | 1343  | 515  |      |       | A1         |     | 2003   | 0917 | :   | EP 2 | 001- | 9795 | 94  |     | 2    | 0011 | 005 |
|      |            | R:    | ΑT,  | BE,  | CH,   | DE,        | DK, | ES,    | FR,  | GB, | GR,  | IT,  | LI,  | LU, | NL, | SE,  | MC,  | PT, |
|      |            |       | ΙE,  | SI,  | LT,   | LV,        |     | RO,    |      |     |      |      |      |     |     |      |      |     |
|      | US         | 2005  | 0545 | 59   |       | A1         |     | 2005   | 0310 | 1   | US 2 | 004- | 8875 | 05  |     | 2    | 0040 | 707 |
|      | US         | 2005  | 1485 | 64   |       | A1         |     | 2005   | 0707 | 1   | US 2 | 005- | 5332 | 4   |     | 2    | 0050 | 209 |
|      | US         | 2005  | 2727 | 10   |       | A1         |     | 2005   | 1208 | 1   | US 2 | 005- | 1839 | 11  |     | 2    | 0050 | 719 |
|      | US         | 2005  | 2882 | 28   |       | A1         |     | 2005   | 1229 |     |      |      |      |     |     |      | 0050 |     |
| PRIO | RIT        | Y APP | LN.  | INFO | .:    |            |     |        |      | 1   | US 2 | 000- | 2387 | 58P |     | A1 2 | 0001 | 006 |
|      |            |       |      |      |       |            |     |        |      | 1   | US 2 | 000- | 2498 | 04P |     | P 2  | 0001 | 117 |
|      |            |       |      |      |       |            |     |        |      | 1   | US 2 | 001- | 2975 | 94P |     | P 2  | 0010 | 611 |
|      |            |       |      |      |       |            |     |        |      | 1   | US 2 | 001- | 2976 | 41P |     | P 2  | 0010 | 611 |
|      |            |       |      |      |       |            |     |        |      | 1   | US 2 | 001- | 2976 | 54P |     | P 2  | 0010 | 611 |
|      |            |       |      |      |       |            |     |        |      |     |      | 001- |      |     |     |      | 0010 | 611 |
|      |            |       |      |      |       |            |     |        |      |     |      |      |      |     |     |      | 0011 |     |
|      |            |       |      |      |       |            |     |        |      | 1   | US 2 | 001- | 9724 | 02  |     | A3 2 | 0011 | 005 |
|      |            |       |      |      |       |            |     |        |      | 1   | US 2 | 001- | 9724 | 25  |     | A3 2 | 0011 | 005 |
|      |            |       |      |      |       |            |     |        |      |     |      | 001- |      |     |     | _    | 0011 |     |
|      |            |       |      |      |       |            |     |        |      |     |      |      |      |     |     |      | 0011 | 009 |
| מ ע  | Di.        | 70100 | ~d ~ | ~~ ~ | amad. |            | ~ ~ | h ~ ~~ |      |     | 1 ~~ |      | - h  |     |     |      | £    |     |

Disclosed are compds. and pharmaceutical compns. that are used for providing sustained systemic blood concns. of orally delivered drugs. Comounds D-Y-T [D is a drug having therapeutic or prophylactic activity when delivered to the systemic circulation of said animal; T is a moiety selected to permit the compound D-Y-T or an active metabolite to be translocated across the intestinal wall of an animal and participate in the enterohepatic circulation in said animal; and Y is a cleavable linker covalently connecting D to T, where Y is selected such that a portion of the linker is cleaved to release drug D or an active metabolite during each cycle through the enterohepatic circulation whereupon sustained release of drug D in said animal is achieved] are claimed. Thus, a series of cholyl-amino acid-gabapentin prodrugs was prepared and the in vitro enzymic release of gabapentin evaluated.

REFERENCE COUNT:

5 THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L12 ANSWER 24 OF 24 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER:

2001:208508 CAPLUS

DOCUMENT NUMBER:

134:249215

TITLE:

Substrates and screening methods for transport

proteins

INVENTOR(S): Dower, William J.; Gallop, Mark; Barrett,

Ronald W.; Cundy, Kenneth C.; Chernov-Rogan,

Tania

PATENT ASSIGNEE(S): Xenoport, Inc., USA SOURCE: PCT Int. Appl., 144 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PA      | PATENT NO.             |      |     |     |     |        | DATE |      | 2   | APPL | ICAT:         | ION 1 | . 00    |     | D    | ATE  |         |
|---------|------------------------|------|-----|-----|-----|--------|------|------|-----|------|---------------|-------|---------|-----|------|------|---------|
| WO      | 2001                   | 0203 | 31  |     | A1  | -<br>; | 2001 | 0322 | ,   | WO 2 | <br>0 0 0 - 1 | US25  | <br>439 |     | 2    | 0000 | <br>914 |
| WO      | 2001                   | 0203 | 31  |     | C2  |        | 2002 | 1003 |     |      |               |       |         |     |      |      |         |
|         | W:                     | ΑE,  | AG, | AL, | AM, | AT,    | AU,  | AZ,  | BA, | BB,  | BG,           | BR,   | BY,     | BZ, | CA,  | CH,  | CN,     |
|         |                        | CR,  | CU, | CZ, | DE, | DK,    | DM,  | DZ,  | EE, | ES,  | FI,           | GB,   | GD,     | GE, | GH,  | GM,  | HR,     |
|         |                        | HU,  | ID, | IL, | IN, | IS,    | JP,  | KE,  | KG, | KP,  | KR,           | KZ,   | LC,     | LK, | LR,  | LS,  | LT,     |
|         |                        | LU,  | LV, | MA, | MD, | MG,    | MK,  | MN,  | MW, | MX,  | MZ,           | NO,   | NZ,     | PL, | PT,  | RO,  | RU,     |
|         |                        |      | SE, |     |     |        |      |      |     |      |               |       |         |     |      |      |         |
|         |                        | ΥU,  | ZA, | ZW  |     |        |      |      |     |      |               |       |         |     |      |      |         |
|         | RW:                    | GH,  | GM, | KE, | LS, | MW,    | MZ,  | SD,  | SL, | SZ,  | TZ,           | UG,   | ZW,     | AT, | BE,  | CH,  | CY,     |
|         |                        | DE,  | DK, | ES, | FI, | FR,    | GB,  | GR,  | ΙE, | IT,  | LU,           | MC,   | NL,     | PT, | SE,  | BF,  | ВJ,     |
|         |                        | CF,  | CG, | CI, | CM, | GA,    | GN,  | GW,  | ML, | MR,  | NE,           | SN,   | TD,     | TG  |      |      |         |
| EP      | 1212                   | 619  |     |     | A1  |        | 2002 | 0612 |     | EP 2 | 000-          | 9667  | 35      |     | 2    | 0000 | 914     |
|         | R:                     | ΑT,  | ΒE, | CH, | DE, | DK,    | ES,  | FR,  | GB, | GR,  | IT,           | LI,   | LU,     | NL, | SE,  | MC,  | PT,     |
|         |                        | ΙE,  | SI, | LT, | LV, | FI,    | RO,  | MK,  | CY, | AL   |               |       |         |     |      |      |         |
| PRIORIT | PRIORITY APPLN. INFO.: |      |     |     |     |        |      |      | 1   | US 1 | 999-          | 1540  | 71P     |     | P 1: | 9990 | 914     |
|         |                        |      |     |     |     |        |      |      | 1   | WO 2 | 000-1         | US25  | 439     | 1   | W 2  | 0000 | 914     |

AB A variety of methods for assaying libraries of test compds. as ligands and/or substrates of transport proteins, including both carrier-type and receptor-type transport proteins, are provided. Both in vitro and in vivo screening methods are disclosed. Also provided are methods for screening DNA libraries to identify members that encode transport proteins. Pharmaceutical compns. including compds. identified via the screening methods are also provided. CHO K1 cells expressing PEPT1 transporter of human or rat were prepared Fluorescent XP10486 was synthesized and used as PEPT1 substrate.

REFERENCE COUNT: 5 THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

=> file marpat

FILE 'MARPAT' ENTERED AT 10:24:57 ON 19 MAY 2006
USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT.
PLEASE SEE "HELP USAGETERMS" FOR DETAILS.
COPYRIGHT (C) 2006 American Chemical Society (ACS)

FILE CONTENT: 1961-PRESENT VOL 144 ISS 20 (20060512/ED)

SOME MARPAT RECORDS ARE DERIVED FROM INPI DATA FOR 1961-1987

MOST RECENT CITATIONS FOR PATENTS FROM MAJOR ISSUING AGENCIES (COVERAGE TO THESE DATES IS NOT COMPLETE):

US 2006062725 23 MAR 2006
DE 102004042453 02 MAR 2006
EP 1630164 01 MAR 2006
JP 2006066320 09 MAR 2006

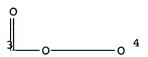
WO 2006034632 06 APR 2006 GB 2416167 18 JAN 2006 FR 2875804 31 MAR 2006 RU 2270725 27 FEB 2006 CA 2514373 19 FEB 2006

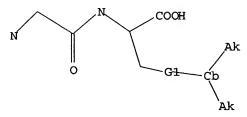
Expanded G-group definition display now available.

New CAS Information Use Policies, enter HELP USAGETERMS for details.

=> d que 119 L2 STR







G1 [@1-@2], [@3-@4]

Structure attributes must be viewed using STN Express query preparation.

L13 16 SEA FILE=REGISTRY SSS FUL L2

L14 1 SEA FILE=CAPLUS ABB=ON PLU=ON L13

L17 7 SEA FILE=MARPAT SSS FUL L2

L18 2 SEA FILE=MARPAT ABB=ON PLU=ON L17/COM

L19 1 SEA FILE=MARPAT ABB=ON PLU=ON L18 NOT L14

=> d ibib abs qhit l19 tot

L19 ANSWER 1 OF 1 MARPAT COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER:

127:200050 MARPAT

TITLE:

Nitrosated and nitrosylated  $\alpha$ -adrenergic

receptor antagonist compounds, preparation thereof, compositions containing them, and use in treatment of

human impotence or erectile dysfunction

INVENTOR(S):

Garvey, David S.; Schroeder, Joseph D.; Saenz De

Tejada, Inigo

PATENT ASSIGNEE(S):

Nitromed, Inc., USA; Garvey, David S.; Schroeder,

Joseph D.; Saenz De Tejada, Inigo

SOURCE: PCT Int. Appl., 96 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 9

PATENT INFORMATION:

| PATENT NO.        |             | DATE       | APPLICATION NO.       | DATE                 |
|-------------------|-------------|------------|-----------------------|----------------------|
| WO 9727749        |             |            | WO 1997-US1294        | 19970128             |
| RW: AT,           | BE, CH, DE, | DK, ES, FI | , FR, GB, GR, IE, IT  | , LU, MC, NL, PT, SE |
| AU 9717562        | A1          | 19970822   | AU 1997-17562         | 19970128             |
| AU 721247         | B2          | 20000629   |                       |                      |
| JP 200050542      | 4 T2        | 20000509   | JP 1997-537755        | 19970128             |
| EP 1018879        | A1          | 20000719   | EP 1997-904887        | 19970128             |
| R: AT,            | BE, CH, DE, | DK, ES, FR | R, GB, GR, IT, LI, LU | , NL, SE, MC, PT,    |
| IE,               | FI          |            |                       |                      |
| US 6294517        | B1          | 20010925   | US 1998-145143        | 19980901             |
| US 6514934        | B1          | 20030204   | US 1999-280540        | 19990330             |
| US 6323211        | B1          | 20011127   | US 1999-285048        | 19990402             |
| US 6417162        | B1          | 20020709   | US 1999-306809        | 19990507             |
| US 6433182        | B1          | 20020813   | US 1999-306805        | 19990507             |
| PRIORITY APPLN. I | NFO.:       |            | US 1996-595732        | 19960202             |
|                   |             |            | US 1996-714313        | 19960918             |
|                   |             |            | WO 1997-US1294        | 19970128             |
|                   |             |            | US 1998-145143        | 19980901             |

Disclosed are nitrosated and nitrosylated  $\alpha$ -adrenergic receptor antagonists; compns. of an  $\alpha$ -adrenergic receptor antagonist optionally substituted with  $\geq 1$  NO or NO2 moiety, and a compound that donates, transfers, or releases nitric oxide as a charged species, i.e., nitrosonium or nitroxyl, or as the neutral species, nitric oxide; and uses for each of them in treating human impotence or erectile dysfunction. Preparation of compds. of the invention, e.g. N-(N-L- $\gamma$ -glutamyl-S-nitroso-L-cysteinyl)glycine and 4-[2-(dimethylamino)ethoxy]-2-methyl-5-(1-methylethyl)phenol-(3-S-nitroso-3-methylbutyric acid)ester. The effect of selected compds. on erectile response in rabbits was determined

#### MSTR 1

$$G1 = 318$$

$$G9 = 69$$

```
C (0)·G37—G21
G11
      = NH
G16 = alkylene (opt. substd. by 1 or more G17)
G17 = NH2
G21 = alkyl (substd. by 1 or more G22)
G22 = 74 / 338
C (O)-G40
          G11-C(0)·G16-G19
G37
      = S
G38 = alkyl <containing 1-10 C>
G40 = OH
Patent location:
                              claim 2
Note:
                              substitution is restricted
Note:
                              additional ring and oxo formation also claimed
```

